1	IN THE SUPERIOR COURT OF THE STATE OF ARIZONA SUPERIOR COURT
2	FOR THE COUNTY OF YAVAPACOUNTY ARIZONA
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4	STATE OF ARIZONA, ) SY:
5	) Jacqueline Harchman Plaintiff, )
6	) vs. ) Case No. V1300CR201080049
7	) JAMES ARTHUR RAY, )
8	) Defendant. )
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14	REPORTER'S TRANSCRIPT OF PROCEEDINGS
15	BEFORE THE HONORABLE WARREN R. DARROW
16	TRIAL DAY FORTY-ONE
17	MAY 5, 2011
18	Camp Verde, Arizona
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22	ODIOINAL
23	ORIGINAL
24	REPORTED BY MINA G. HUNT
25	AZ CR NO. 50619 CA CSR NO. 8335

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		COUNTY OF YAVAPAI	3	EXAMINATIONS PAG	E
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	4 STATE OF ARIZONA,	}	5	ARCHIAUS LICINIUS MOSLEY, JR.  Direct by Mr. Hughes  9	
1	<pre>5 Plaintiff,</pre>	}	6	Cross by Ms. Do 124	
	6 <b>vs</b>	) Case No. V1300CR201080049		C1033 by 1131 bo	
	7 JAMES ARTHUR RAY,	<b>,</b>	7		
1	8 Defendant	; }			
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	24	MINA G. HUNT AZ CR NO 50619	20 21		
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1	APPEARANCES OF COUNSE	2			4
7 '		- 1	1	Proceedings had before the Hono	rable
2	For the Plaintiff:		2	WARREN R. DARROW, Judge, taken on 1	Thursday, May 5,
3	3 YAVAPAI COUNTY ATTORNEY'S OFFICE		3	2011, at Yavapaı County Superior Court	, Division
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PROCEEDINGS (Proceedings continued outside presence

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THE COURT: The record will show the presence 5 Mr. Ray, the attorneys; Mr. Kelly, Mr. Li, and Ms. Do. Ms. Polk and Mr. Hughes represent the state.

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3 of jury.)

And I was informed that somebody wanted to talk about a legal matter.

MR. KELLY: Yes, Judge. Very briefly. And I 11 believe this obviously is on the record from yesterday's testimony. So it's simply to further 13 the record.

But what I wanted to emphasize is that we 15 believe there is some improper questions and answers during redirect relating to Mr. Haddow's conclusions which were set forth in an email identified as a preliminary report in this matter.

Specifically on redirect there was a 20 question-and-answer period when -- and, again, the 21 record speaks for itself -- when the inculpatory 22 nature of that report was confirmed by the 23 testimony of the detective.

24 We would have moved for a mistrial 25 yesterday afternoon immediately after his testimony

1 based on that colloguy between the state and the 2 detective on redirect. However, in the interest of

3 time, Mr. Page came. We finished that testimony.

4 It was 5:00 o'clock. You had left the bench.

I just want the record to reflect the 6 request in that regard and that we did not waive 7 any assertion as to improper testimony in that regard.

And I would remind the Court that earlier in the afternoon there was an extensive discussion 11 about my cross-examination and an argument from the 12 State of Arizona that I was not allowed to assert 13 any exculpatory information from that report during 14 my cross-examination of Detective Diskin, which I

16 itself. 17 But it's our interpretation, then, that 18 the inculpatory aspects of the report were, in fact, presented to the jury through a question 19 20 format that was something like did you consider --

15 did not do. And, again, the record speaks for

THE COURT: CO2.

MR. KELLY: Yeah. Carbon dioxide and 23 structure. I don't have an exact memory, but I recall the CO2. And the answer was yes, I did. 25 And it was consistent with my conclusion.

I had this morning. Thank you. 23 24 THE COURT: Ms. Polk, Mr. Hughes, anything? MR. HUGHES: No other issues, Your Honor. 25

THE COURT: The motion for mistrial is denied.

MR. KELLY: Judge, that's the only issue that

Mr. Kelly in his cross-examination.

THE COURT: Okay. I just want to make sure

you both got this note of concern about trial

timing from one of the jurors. And that's

something to think about.

Okay. We'll start soon. Thank you.

6 (Recess.)

(Proceedings continued in the presence of 7

8 jury.)

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9 THE COURT: The record will show the presence of the defendant, Mr. Ray; the attorneys, and the 10 11 jury.

And the state may call the next witness. 12

13 Mr. Hughes.

MR. HUGHES: Thank you, Your Honor. The state 14

15 calls Dr. Mosley.

THE COURT: Dr. Mosley, If you'd please step 16 17 to the front of the courtroom where the bailiff is directing you. 18

Raise your right hand to be sworn by the 19

20 clerk.

ARCHIAUS LICINIUS MOSLEY, JR., M.D., 21

22 having been first duly sworn upon his oath to tell

the truth, the whole truth, and nothing but the 23

truth, testified as follows: 24

THE COURT: Please be seated here to my right.

2 of 45 sheets

1 Dr. Mosley, would you please start by 2 stating and spelling your full name. 3 THE WITNESS: My name is Archiaus Licinius 4 Mosley, Jr., M.D.; Archiaus, A-r-c-h-i-a-u-s; Licinius, L-i-c-i-n-i-u-s; Mosley, M-o-s-l-e-y; 5 6 Jr., J-r. 7 THE COURT: Thank you. 8 Mr. Hughes. 9 MR. HUGHES: Thank you. 10 **DIRECT EXAMINATION** BY MR. HUGHES: 11 12 Q. Doctor, can you tell us what your occupation is. 13 14 Α. I'm a medical examiner. 15 Q. And in what area of the state are you a 16 medical examiner? 17 Α. Northern Arizona. I work for Coconino 18 County. That's the county in which Flagstaff is. 19 Q. And how long have you been a medical 20 examiner? 21 Α. I've been a medical examiner since July 22 of 1999. 23 Q. And how long have you been in Coconino 24 County? 25 Α. July of 2008. 1

10 Q. And where were you working, then, as a 2 medical examiner prior to July of 2008? 3 Well, from July of 1999 through June 4 of 2008, I was employed by the Maricopa County 5 office of the medical examiner in Phoenix. Q. And can you walk us through, if you 6 7 would, where you obtained your undergraduate and 8 medical degrees. 9 Α. I have an undergraduate degree from the 10 University of California at Berkeley in bioresource 11 sciences. That was followed by a medical degree from the University of California at San Diego. 12 That was followed by a five-year residency in 13 anatomic and clinical pathology at Georgetown 14 University Medical Center in Washington, D.C. 15 16 And that was followed by a one-year 17 fellowship in forensic pathology at the office of the chief medical examiner for the State of 18 19 Maryland in Baltimore.

Q. And do you hold any medical or other

I have a license to practice medicine in

And is that license in good standing?

9 Have you ever testified as an expert 1 Q. 2 before? Α. Yes. And can you give us just an overview of 4 Q. the courts and the approximate dates that you've 6 testified as an expert. 7 I can remember testifying once in Maryland. And it's probably 20 or 30 times in 8 Phoenix or Maricopa County and twice so far in 9 10 Coconino County. Do you have an idea of how many autopsies 11 Q. 12 you've personally performed? Somewhere in the order of three to 4,000. 13 Α. 14 And do you know how many of those you've Q. 15 performed since your fellowship ended? Probably somewhere around 2,700 to --16 well, about 500 fewer than I've performed -- well, 17 as a fellow I did around 400 autopsies. So 3,600 18 19 perhaps or 3,200. 20 Q. And can you tell us what forensic 21 pathology is? Sure. Let me back up. Pathology is the 22 Α. study of disease. A pathologist is a medical 23 doctor who instead of doing a residency in surgery or pediatrics or internal medicine does a 25 fellowship in pathology, which it's -- we've been

referred to the doctors' doctor because other 2 doctors will come to the pathologist to establish a 3 diagnosis about a person's particular disease. But forensic pathology is the study of 5 disease as it relates to the law and legal proceedings. It's sort of those sorts of cases 7 that tend to end up in court or the manner of death is nonnatural in particular. So the cases I see, 9 some of them are natural, but they're also 10 accidents, suicides, homicides. 11 12 How does a forensic pathologist determine 13

a manner and cause of death?

Well, the manner is pretty much dictated by the circumstances. The manner isn't a scientifically derived determination. It's based on investigation and the history. For example, if someone is in a car accident, it's the scene findings, the crashed vehicle, the road conditions, that establish that as being an accident or -- but the manner is pretty much dictated by the circumstances surrounding the death. Another example might be a gunshot wound

23 to the head. It could be suicide or it could be a 24

homicide. It's the circumstances that tell me

Page 9 to 12 of 179

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professional licenses?

the state of Arizona.

Yes.

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Q.

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Q. And can you tell us, then, what the difference what -- how you define "manner of death" and "cause of death"?

Sure. The cause of death is the event or disease that's set, the physiological derangement that resulted in the death; the proximate cause of that thing, that event, that resulted in someone's death.

For example, an acute myocardial infarction is a cause of death that resulted in a lack of blood flow to the brain and irreversible brain death. So I don't put cerebral hypoxia as the cause of death because the cause of death in that case would be the heart attack, which resulted in the lack of blood flow and oxygen delivery to the brain.

So the cause of death is, basically, what event or disease process resulted in the death, just distinction from the mechanism of death, which are particular physiological derangements that might happen in a sequence like that.

An example of a cause of death would be a gunshot wound to the head or multiple injuries or narcotic intoxication, something like that. The

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manner of death speaks to the circumstances -homicides suicide, accident, undetermined, natural.

Q. Are those, the terms you just used --4 homicide, suicide, undetermined, accident and natural -- are those the choices that you have as a medical examiner to choose from in determining 7 manner of death?

A. Yes. I don't have subcategories or shades of gray when it comes to manner of death. I don't have degrees of homicide. I just have homicide.

Q. Do you determine, then, legal responsibility for cause of death?

Α.

Q. If, for example, a drunk driver were to hit and kill a child on the street, by all intents 17 and purposes it appeared to be an accidental hitting. In other words, the driver didn't have it 18 19 out for the little boy. How would you determine 20 that manner of death in a case like that?

A. I would rule that an accident, based on tradition mainly and the fact that I believe they accidentally killed that person.

Does the medical examiner determine manner and cause of death for every person who's 1 deceased?

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No. Only people who are referred to the 2 Α. medical examiner's office. A person who is well known to their doctor, and they've been following 5 them for some period of time, long enough to know what potentially fatal medical conditions they 6 might have -- that person might sign their death 7 8 certificate.

As long as the cause is a natural disease process, then that case would not be referred to the medical examiner's office for further investigation.

Q. And in making a determination, then, into cause of death or manner of death, what records would you, as a medical examiner of Coconino County, have access to and what would you review?

Medical records. If a person goes to a hospital, I'd like to see their medical records and 18 see what was discovered about them while they were alive. Police reports. If the police investigated the circumstances, I'd like to know what they determined. And those are the sorts of things that 22 23 I review.

> How do you go about obtaining records? Q.

Well, usually I send a fax or request for A.

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medical records to the hospital or the doctor's office and explaining why I need these records.

And they generally cooperate with me. 3

Q. If in a rare case someone were not to 4 cooperate, as a medical examiner, do you have the 5 power to sign and issue subpoenas?

7 Α. No. Well, if I do, I've never used that 8 power.

Have you ever had a problem getting 9 medical records from a hospital when you asked for 10 11 them?

> Α. On very, very rare occasion.

13 Q. And what staff do you have available to 14 you?

A. I have an office manager and three 15 forensic investigators, who are also my autopsy 16 technicians. That's pretty much it. 17

18 You mentioned that not every case comes to the medical examiner's office for review. Of 19 the cases that do come to your office, do you do an 20 autopsy in every one of those cases? 21

No. If the records are -- if I can 22 23 establish with reasonable medical certainty why they're dead, based on reviewing their medical records, and if I look at the body and I can't see

any external evidence of a non-natural cause of death, injuries in particular, then I won't do an autopsy. I reserve the autopsy for when I need to look further to establish the cause of death.

**Q.** What can an autopsy tell a forensic pathologist?

A. An autopsy can tell a forensic pathologist what physiological derangements may exist based on anatomical findings such as a scar in the heart muscle or an infarct in the brain, lung disease, tumor. And I'm, basically, looking for anything I can see.

If it's normal, I want to know that. If it's abnormal, I want to know that as well.

Anything that might result in a physiological derangement that would produce or contribute to a cause of death.

Q. And what cannot -- what is -- are there things that an autopsy cannot tell a forensic pathologist?

A. Yes. Well, I can think of -- you can't always determine what the circumstances were by the -- from the autopsy. The example that jumps most immediately to mind is an infant who has no marks on it and no evidence of trauma, no evidence

of natural disease, but yet they're dead. I can't tell if someone suffocated that baby or if it died from SIDS.

**Q.** Can the absence, then, of findings in an autopsy be relevant in your making a determination of the cause of death?

A. I'm sorry, Counsel. Can you repeat the question?

**Q.** If you perform what would, essentially, be a negative autopsy, an autopsy where you didn't find anything abnormal or unusual about the body, can that be relevant to you in making your determination as to cause of death?

A. Absolutely.

**Q.** And can you explain how that would be the case.

A. Well, a negative autopsy, essentially, excludes an anatomic cause of death based on an abnormal organ or -- for example, in a drug overdose, in a toxicological death, the autopsy may not reveal anything that allows me to pinpoint a cause of death. I rely on chemical tests, toxicological analysis, to establish the diagnosis at that point.

Q. And other than an autopsy, what else is

1 important to you, then, in reaching a determination2 in manner and cause of death?

A. In investigative history, reports as to
what the circumstances were surrounding this person
becoming ill, injured, dead. And that includes
their medical history, in particular their medical
history.

Q. Are circumstances from the scene where aperson becomes ill or a person dies relevant inyour determination?

A. Yes. Absolutely. Well, if a person falls in a swimming pool or something of that nature, that's a pertinent finding. And it would explain why they have fluid in their lungs perhaps. Or if they're in their car seat in the back seat of a sedan in the middle of July -- you know -- that scene is very important to determining the cause of death.

**Q.** In this particular case that brings you here today, Doctor, did you reach a conclusion and perform a investigation into the manner and cause of death of Liz Neuman?

A. I did.

MR. HUGHES: Your Honor, I believe defense is willing to stipulate to the admission of exhibits

1 886, 362, 363 and 364.

MS. DO: That's correct, Your Honor.

3 THE COURT: 886, 362, -63 and -64 are 4 admitted.

(Exhibits 362-364 and 886 admitted.)

Q. BY MR. HUGHES: Doctor, did you perform
an inquiry to determine manner and cause of death
of James Shore and Kirby Brown?

A. No.

Q. And can you tell us how it is, then, that
you came to perform a review for the death of Liz
Neuman but not for James Shore and Kirby Brown.

A. Well, it's — the medical examiners in Arizona are divided by county. The people you just mentioned died in Yavapai County. Liz Neuman was flown to Flagstaff Medical Center in the next county, where I have jurisdiction.

My office has jurisdiction on people who
die suddenly and unexpectedly in a manner that's
suspicious for not being natural.

Q. Why, then, did you decide to conduct a review into Liz Neuman's death?

A. To establish the cause and manner of death.

25 Q. Did you prepare an autopsy report that

detailed your examination? 1 2 Α. I did. MR. HUGHES: Your Honor, may I approach the witness? 5 THE COURT: Yes. 6 Q. BY MR. HUGHES: Sir, I'm going to show you what's been admitted as Exhibit 886 and ask if 1 2 2

8	you recogi	nize that document?
9	A.	I do.
0	Q.	Can you tell us what Exhibit 886 is.
1	Α.	886 is a report of investigation that one
2	of my inv	estigators prepared. It's standard for
3	her to pro	epare a report of investigation,
4	basically,	, giving me background information on the
5	circumsta	ances of death.
6		And it lists things like the date and
7	time of e	vents, such as the date that the person
8	became -	- in this tech case, when Liz Neuman became
9	injured, v	when she was pronounced dead, and her age.
0	And, basi	cally, it's background information.
!1	Q.	And you said one of your employees
22	prepared t	:hat?
23	A.	Yes.
24	Q.	Do you know which employee it was?
25	Α.	I do.
		22
1	Q.	Which employee was it?
2	A.	Her name is Regina Sotelo.
3	Q.	What does she do for your office?
4	A.	She's a forensic investigator and autopsy
5	assistant	<b>:</b>
6	Q.	And if you can hand me that, I'll be
7	asking a fo	ew more questions about that in a moment.
8	But I want	t to show you what's been admitted as
9	Exhibit 36	2 and ask if you recognize that document?
0	A.	I do.
1	Q.	Can you tell us what Exhibit 362 is.
2	A.	Exhibit 362 is the autopsy report the
13	autopsy	report I prepared on Liz Neuman.
4	Q.	And then if I can get that one back.
15	•	ly ask you a couple questions about
16	that, or a	few more than a couple questions.
17		Showing you Exhibit 363, can you tell us
18	_	document is.
19	A.	Exhibit 363 looks like my contemporaneous
20	•	otes I took while — most likely while
21	_	to someone tell me about the case or
22	while I w	vas reviewing her medical records. And

actually it looks like a handwritten draft of the

in the autopsy report.

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report of investigation that I tried to summarize

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	1	It's distinct from the report of
1	2	investigation that Regina Sotelo put in her report.
	3	Just judging from how I crossed out sentences and
	4	rewrote things, it looks like it's a first draft
	5	and some other pertinent notes about the clinical
	6	evaluation.
	7	Q. Then, Doctor, showing you what's marked
	8	as Exhibit 364, can you tell us what that document
	9	is.
	10	<ul> <li>A. This is a death certificate from the</li> </ul>
	11	State of Arizona on Lizbeth Marie Neuman, which
	12	lists the cause of death.
	13	Q. Did you submit information that was
	14	included in that death certificate?
	15	A. I did.
	16	Q. And I'll ask a couple questions about
	17	that. If I can get Exhibit 363 back also?
	18	A. Certainly.
	19	Q. And what I'd like to do is go through
	20	these documents so that when the jury reviews them
	21	later, they will have an understanding of what the
	22	different components and terms are inside the
	23	documents.
	24	So turning, then, to Exhibit 362 it
	25	will take a moment to warm up there is what
	l	24

1 appears to be a face sheet with some pathological

2 diagnoses. Can you tell us what a pathological 3 diagnoses is.

A. Those are physiological derangements.

5 They're the diseases, basically, that I decided to 6 list. And -- well, some of it is the relevant

7 history, history of multisystem organ failure, to

8 give the reader an idea of what happened,

basically. It's not exclusively pathological

diagnoses in this particular case. 10

Q. I'm sorry. Go ahead.

A. Some of it is just history.

Q. And I'll ask you about multisystem organ 13

14 failure in a moment. As far as the term, what

appears to be numbered paragraph 3, and I'm

16 guessing -- anasarca. Can you tell us what that

17 is.

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- Anasarca is generalized body edema, whole 18 19 body fluid retention. She's swollen with fluid.
- Q. And jaundice? 20
  - Jaundice is yellowing of the skin as a A.

22 result of liver disease.

Q. And prior, then, to preparing your report 23 of autopsy, is it safe to assume that you actually

performed an autopsy, then, on Ms. Neuman?

Α. Yes.

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- Q. 2 And can you explain for us what findings 3 you made in the autopsy.
  - It might help to have a copy of it with me as I do that.
    - Q. Okay.
- 7 A. But what I found were things like some 8 blockage in a coronary artery, fluid overflowing 9 into different body cavities. These are late findings. This is after nine days in the hospital 10 11 as multisystem organ failure progressed. But --
- 12 And you mentioned after nine days in the hospital. When did Ms. Neuman actually die? Do you know?
  - A. October 17.
- 16 Q. And do you know which hospital she had 17 been at prior to her death?
- She died in Flagstaff Medical Center. 18 Α.
- And how long had she been at Flagstaff 19 Q. 20 Medical Center?
- Since October 8. 21 Α.
- 22 Q. Can you, then, walk us through, if you would, the -- I realize your autopsy report is a 23 number of pages long. Did you find anything 24
  - A. Well, jaundice is abnormal. Having whole body fluid retention is abnormal.

abnormal or unusual in the autopsy of Ms. Neuman?

- Q. Had you obtained Ms. Neuman's medical 3 records from Flagstaff Medical Center at the point 4 5 you issued your report of autopsy?
  - A. I had some. I didn't have the entire chart. I had mainly the things they had time to type up by the time I did the autopsy.
- 9 After doing -- what day did you do the Q. 10 autopsy on?
  - Α. October 19.
- After doing the autopsy and between that 12 Q. time and the time you issued your report, did you 13 obtain any other medical records from Flagstaff 14 Medical Center? 15
  - A. Yes.
- 17 Q. And did you have an opportunity, then, to 18 review those other medical records prior to the time that you prepared the report of autopsy? 19
  - A. Yes.
  - Q. And can you tell us what day, then, you prepared your report of autopsy.
- A. Well, I signed the autopsy report on 23 24 February 2 of 2010.
- Had it been prepared sometime before that 25

1 point?

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2 Yes. Well, it's open to editing until I A. sign it. So thing like organ weights and the fact that she had 60 percent stenosis in her left anterior descending coronary artery -- those sorts 5 of things were there. The numbers, the organ 6 weights, the amounts of fluids -- those are in 7 8 there. But -- you know.

9 Let's go through the report, then, Q. Doctor. And, again, this is Exhibit 362. We 10 talked a little bit about what's at the beginning 11 or the top of page 1 of that report. And at the 12 13 bottom of the page, there is a cause of death and a 14 manner.

Can you explain what led you to believe the cause of death to be multisystem organ failure 16 due to hyperthermia due to prolonged sweat lodge 18 exposure.

A. The clinical history in the hospital, there was evidence of multisystem organ failure. That was pretty thoroughly documented. And, for example, things like jaundice. That's evidence of her liver failing. There is abundant clinical evidence of multisystem organ failure.

I'm sorry. Did I finish that answer?

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- Q. Can you tell us what led you to believe that this multisystem organ failure that occurred 2 in the hospital was due to hyperthermia. 3
- From the scene investigation as I 4 thought it was pretty well established that the 5 sweat lodge was hot. And I would expect her to 6 experience hyperthermia or, basically, being too hot while in the sweat lodge. 8
- And can you tell us what "hyperthermia" 9 Q. 10 means.
- It, basically, means being too hot. It's 11 Α. not as precisely defined as heat stroke is. But it 12 means that your body temperature is above what it 13 should be as a result of a normal process. 14

So anyone who has a temperature of above, 15 let's say, 101.5 could be regarded as having 16 hyperthermia. And there are a multitude of causes 17 of that. But in this case, I believe it was 18 environmental exposure to a sweat lodge. 19

- Can you tell us, then, or explain the 20 difference between hyperthermia and the term "heat 21 22 stroke"?
- Sure. So heat stroke is it has some 23 very clear clinical parameters. For example, a 24 rectal temperature above 108 degrees. And there is

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some other clinical findings that I'm blanking on at the moment.

And you said 108 degrees. Are you aware Q. whether some clinicians pick the number 104 degrees?

A. Yes. Yeah. They do.

7 Q. And is there a reason that you use the 8 term "hyperthermia" as opposed to "heat stroke"?

Well, yes. In my particular clientele, the people -- the bodies I examine, it's very rare for someone to get a rectal temperature. So knowing that people can test the dagnosis based on the lack of a rectal temperature, I avoid that by just calling it "hyperthermia" and then try to be more descriptive about why they have hyperthermia.

You mentioned your clientele. Is it safe to assume those are the patients, if you will, that arrive to you for examination?

A. The deceased persons that come to my office.

Q. Have you ever performed inquiries into the deaths of people who died from hyperthermia?

> Α. Yes.

Q. 24 And do you have an idea approximately how

many cases you've seen where people -- decedents 25

have come to you and you've made the determination 1

it was hyperthermia or heat stroke that killed 2

3 them?

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A. I would guess about 20 people. In Phoenix in the summer. What I have - what I had there was people hiking through the dessert and passing out, people hiking Camelback mountain, people locking their children in a car while they went to work. In cases like those, I listed the cause of death, essentially, as hyperthermia.

Q. You mentioned people hiking as opposed to, say, being locked in a car down in Phoenix. Is there a distinction between hyperthermia or heat stroke that's caused or brought on by exertion and hyperthermia that's brought on by the nonexertion by the situation that a person is in?

Yes. Well, that is the distinction, exertional versus nonexertional heat stroke. And those are the two major categories of heat stroke, as I understand it.

Q. Can you tell us, then, whether the effects on the body are any different based on whether the hyperthermia is brought on through exertion or brought on through exposure through the environment?

Yes. Well, there are differences in the 1 body if they've exhausted themselves, if they've 2 depleted their energy reserves and done an 3 excessive amount of sweating while exerting 4 themselves, if they're not well hydrated.

But yeah. The active exercising does change the findings somewhat in exertional versus nonexertional heat stroke.

You mentioned that clinical finding for 9 Q. heat stroke would be a rectal temperature. Can you 10 tell us, as a medical professional, if there is a difference in accuracy or quality of temperatures 12 that are rectal as opposed to, say, what my mom 13 used to do, stick a thermometer under my tongue or 14 under your arm or something like that? 15

A. Yes. Well, there is. And that's why the 16 standard, the gold standard, so to speak, is the 17 rectal temperature. Because the rectal temperature 18 reflects the core body temperature, what the body 19 temperature is at its core, as opposed to on the 20 surface, which you can expect would be cooler 21 because of being exposed to the air and cooling 22 23 forces.

Now, you mentioned that in your 24 Q. experience down in Maricopa County, you saw people 25

who did not have rectal temperatures. Were you

2 ever able to actually get any autopsies on patients

who had a rectal temperature at the time that they 3

were exposed to the heat? 4

> Yes. I have. Α.

And can you tell us how rare or how 6 common it is to be able to get that measurement. 7

It's maybe 10 percent of the time I might 8 find something like that where the person's rectal 9 temperature is recorded pretty quickly after 10 they're discovered. And I think it often maxes out 11 the thermometer. The reading might be 108 degrees. 12

With the passage of time, after a person 13 has been exposed to heat and then taken away from 14 15 that heat source, what happens to the body?

It cools. And that's the idea. Take 16 them away from the heat source so they will cool 17 down to a more physiologically appropriate 18 19 temperature.

20 Q. If a person has been taken away from a heat source and, say, an hour or more passes, would 21

you find that a temperature, even a rectal 22

23 temperature, to be dispositive in making a determination of cause of death if it was taken an

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hour or more after their exposure to the heat?

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# An hour. Yes. I would expect it to be substantially lower.

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I'm going to turn back to the report in a moment. But as far as your experience in dealing with heat related deaths, have you had occasions where the patients or the people who have come to you have been -- you know -- the ones discovered in the desert, for example, were they more or less skeletonized?

## Α. I'm trying to remember a case like that. But I can't remember one where they were skeletonized.

Q. If you had a case like that, where there really wasn't much left to perform an autopsy on, would that be a case that would be presented nevertheless to a medical examiner to try and determine manner and cause of death?

## A. It would be presented. Yes.

Q. And how would you go about making that determination, then, if you really only had some skeletonized remains found in a desert wash?

A. You know, I think I would most likely rule the manner as both undetermined in a case like that where there is no tissue left to look at. Because there are things that could occur that I

could neither prove nor disprove.

How many of the cases, then, where you've made a conclusion of hyperthermia or heat stroke have there been a body that's relatively intact for you to examine?

A. I would think most of them probably. If 7 I made that diagnosis, I assume I had a relatively intact body.

And how many cases have you had which involved nonexertional heat stroke, in other words -- or nonexertional hyperthermia that was brought on through environmental conditions as 12 opposed to, say -- you know -- working as a roofer 14 under the hot sun?

## A. I would think three or four.

Q. And you mentioned a case involving, as a 16 17 hypothetical, someone getting locked in a car. Have you ever had an actual case where someone was 18 locked in a hot car? 19

20 Α. Yes.

> Q. Have you had any cases where people have been locked in or inside of other hot environments like a car or some other hot structure?

I had one sauna death where a person 25 spent too long in a sauna.

And a sauna. Would that involve heat and Q. 1 2 humidity?

> Α. Yes.

Q. And the case involving the car, did that 4 involve heat and humidity?

Mainly heat. I'm not sure what role 6 Α. humidity played, if any. Probably it wasn't a substantial factor, although the vaporization of sweat would contribute to the humidity in a locked environment, I would think. 10

Q. Can -- for example, the sauna that you 11 12 mentioned, can humidity affect a body that's in a 13 very hot environment?

> Α. Absolutely.

Can you explain how that would be. Q.

Well, we sweat to cool ourselves. And if the air around you is so soaked with water that you can't evaporate your sweat into it anymore because it's saturated with water, so to speak, then that defeats a major cooling mechanism that we have.

Q. Can moist air carry heat any differently 21 than dry air?

Yes. The moisture will vaporize. I'm 23 Α. sorry. Moisture carries heat differently. Well, the heat is in the water molecules in the air. And

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# dry air it would be evaporated. I'm not sure I --

That wasn't a good question on my part. 2 Would a person exposed -- as a hypothetical, if you 3

had a person in an enclosed space. Let's use, for

example, that sauna that you mentioned. If they 5

were in an enclosed space and it was the same

temperature that would ultimately lead to 7

hyperthermia, would you expect their symptoms and

the progression of the disease of hyperthermia to 9

occur at any different rate for the person who was 10

in, say, a dry sauna as opposed to a person who was 11

in a very humid sauna of the same temperature?

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13 Yes. Because of the moisture in the air and the sauna, I would expect the symptoms of 14 15 hyperthermia to occur more quickly.

Now, moving to Ms. Neuman's -- to your analysis of Ms. Neuman. You have explained the first page for us. Can you walk us through, then, what factors you relied on in making your determination as to the manner and cause of death.

### You just want me to read through this 21 22 or --

**Q.** The jury is going to have the opportunity 23 to read the actual report. My question is are 24 there particular factors of significance that you

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- 1 relied upon in making your determination?
  - Well, yes. That she lost consciousness while in -- while inside of the sweat lodge.

That's significant because she -- you can't protect yourself when you're unconscious. You can't do things to cool yourself if you're unconscious.

- 7 Q. Is the loss of consciousness something 8 that you would expect to see in a person suffering from hyperthermia or heat stroke? 9
  - A. Yes.

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Q. And can you tell us why you would expect to see a loss of consciousness.

A. Well, the cardiovascular system is overwhelmed at some point where it can't do its job of pumping blood the way it should because of the stressors involved in being in a hot environment or an abnormally hot environment. But it's, basically, cardiovascular collapse that would result in a loss of consciousness.

What other symptoms would you expect to see in a person as they began to suffer from hyperthermia or heat stroke?

Well, their skin would dilate -- the blood from their skin -- in order to try and 24 transfer more heat from their blood through their

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### skin to evaporate. 1

- 2 Q. What would that look like if my skin was dilating right now? 3
- 4 Α. Purple.
  - Q. Okav.
- You might look kind of purple as those Α. 7 little blood vessels engarge. And mental status changes where you're confused; you might be incoherent; your brain is, basically, not working correctly as a result of hyperthermia. So confusion and difficulty in perceiving and interacting with your environment.
- 13 Q. What about nausea and vomiting? Is that 14 something you would expect to see?
  - A. You can see nausea and vomiting in hyperthermia. Yes.
- Q. And can you tell us, then, Doctor -- in 18 this particular case when you have a reported circumstance of death that's in your autopsy 19 report, can you tell us where that information was derived from.
- Partly from my investigator's report. Α. And I'd have to look at that again to see if that's 23 entirely true. But usually what I do is I summarize what my investigator wrote in her report.

- By the "investigator's report," are you 1 2 referring to this document, which is Exhibit 886?
  - Α. Yes.

4 Can you just let us know if after Q. reviewing Exhibit 886, if that helps you to make a determination of where the information came from 6 7 that's portrayed on page 2 of Exhibit 362?

A. Yes. Well, I think a lot of this is gleaned from the medical records, what was reported to the clinicians at the hospital.

Q. And what was the date of your medical 11 12 investigator's report?

I think she completed this on the 19th of 13 Α. October 2009. 14

Do your investigators have to work pretty Q. auickly in your business?

17 A. Yes. They stay pretty busy. They have to review records of people who don't meet criteria 18 for being medical examiner's cases. So they do 19 some gatekeeping, so to speak, where all the deaths 20 are reported to them. But they have to decide is 21 there something about the history that would give 22 the medical examiner's office jurisdiction on this 23 case - something non-natural in etiology. 24 25

They stay busy with that, and they stay

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- busy -- they actually have to drive to the scenes
- to retrieve bodies all over Coonino County, which
- I understand is the second largest county in the country.
- I heard somewhere it's about the size of 5 Q. New Hampshire or one of those states on the east 7 coast.
- So my investigators spend a lot of time 8 driving. From the Grand Canyon to Sedona wouldn't be unusual for in one day for an investigator. 10
- Q. Can you tell us, then, what findings you 11 made in your autopsy that led you to your diagnosis of multisystem organ failure due to hyperthermia. 13
- A. Well, in the autopsy the findings are the 14 jaundice, the fluid overload, the excess fluid in 15 her body cavities, her lung cavity. The amount of 16 fluid in her lungs and in the cavity in which her 17
- lungs reside is consistent with respiratory 18
- failure. So that's lung failure. 19

20 The jaundice and -- also speaks to liver failure. As far as autopsy findings, those are the 21 main findings from the autopsy that are consistent 22 23 with multisystem organ failure.

24 Is multisystem organ failure a --

something that always occurs when a person suffers 25

1 from hyperthermia?

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- A. No. No.
- 3 Q. Can you tell us how it is that a person 4 can sustain multisystem organ failure from 5 hyperthermia.
  - Α. It's not reversing the hyperthermia in time where there is -- where organs actually die or are in the process of dying. And by that I mean they're irreversibly damaged. But you can sustain hyperthermia and be rescued before permanent, irreversible damage occurs.

So in this case there is ongoing damage to her organs that progressed and couldn't be stopped. But not everyone -- certainly not everyone who gets hyperthermic gets irreversible tissue damage, organ damage.

- You mentioned earlier that you might expect to see a mental status change in a person who is suffering from hyperthermia. Is there a point at which the body has a loss of consciousness which can effect whether that person is able to continue breathing or not?
- 23 Α. Yes.

breathe?

airway?

- 24 Q. Can you explain what that point would be.
- 25 Α. The point -- let me make sure I
- understand the question. The point at which loss 2 of consciousness results in the inability to
- 4 Q. Is there a point where there is a mental 5 status change or a loss-of-consciousness or a level-of-consciousness change that can affect a 6 7 person's ability to breathe or control their
  - Α. A lot of that is automatic -- the breathing drive. You don't need to be conscious to breath, thankfully. We could all stand to sleep sometimes. It's really a brain damage that occurs.
- Let me ask you this: Would it be 14 something that you would expect or not expect to see in a person suffering from hyperthermia -- is it possible they might stop breathing?
- 17 Α. Yes.
- 18 And can you tell us what the reason why you would expect to see a person stop breathing if 19 20 they were suffering from hyperthermia.
- 21 A. Okay. So in hyperthermia all by itself, it's -- the cardiovascular collapses, so the heart 22 23 stops working. And if their heart stops working, they can't send blood to their lungs and to their 24 brain. And without that you can't drive your

- diaphragm to move up and down and help you breathe.
- 2 How quickly after exposure to a heat source could a person begin to reach the point where they are suffering from hyperthermia? 4
- 5 I'm not sure what the critical levels are, but it's a combination of how hot it is and how long it's sustained. So there is a total load of heat exposure. It's -- so the answer to that question kind of varies with how hot is it and how 10 long is that.

11 Say, a lower level of heat for a longer period of time or higher level of heat for a 12 13 shorter period of time would result in the same defect. It's sort of a time-temperature product 14 15 that the body is enduring.

Do you believe, Doctor, it's possible for 16 a person who is in a very hot environment for over 17 an hour -- would you find it surprising or could 18 you expect to find a person beginning to suffer 19 from hyperthermia? 20

- Α. Yes.
- 22 Q. In this particular case involving
- Ms. Neuman, do you have any idea or recollection at 23
- this point of how long Ms. Neuman was outside the 24
- 25 sweat lodge before the medical rescuers came from

Guardian Air?

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- I'm not sure. I'm not sure. I think she 2 Α. came out at around 5:30. But I'm not sure when the 3 first medic attended to her.
- Q. And as far as the 5:30 figure, do you 5 know where that time came from?
- In reviewing the witness statements from 7 the sweat lodge, that seemed to be about when 8 people thought that they exited the sweat lodge. 9

And I'm going to show you what's been

- admitted as Exhibit 369, which is Ms. Neuman's 11 Guardian Air company records. Was that one of the 12 documents that you reviewed in this case from -- at 13 14 any point?
- A. I do believe I reviewed this early on in 15 16 the case.
- Does that indicate at what time they were 17 Q. at the side of the patient? 18
- 1817 hours or 6:17. So about 45 minutes 19 Α. 20 after getting out or so.
- As far as a person, if they have reached 21 a point where they've stopped breathing and their 22 heart is stopped, is that it for a person, or is it 23 possible to restart the heart at some point? 24
- It's definitely possible to restart -- to 25

- 1 resuscitate a person whose heart is stopped.
  - **Q.** And does time between when the heart stops and when medical care is provided -- does that influence the probability or likelihood that a
  - heart will be restarted?

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- A. Absolutely.
- Q. Can you explain that.
- A. Well, after some amount of time without blood flow, without oxygen, the cells will irreversibly die. The brain -- four, five minutes without oxygen, there is irreversible brain damage.

As far as starting the heart, it can be resuscitated. But I'm not sure how long that takes for the heart to be without perfusion before it can't be restarted again.

- **Q.** And you said you don't know what point. Is it possible once you reach that point that the heart can't be restarted -- if at that point CPR is begun, would that make any difference?
- A. Yes. Yes. It's a matter of getting oxygen, blood flow, to the heart itself. And CPR does that. It moves the blood around so that --well, the heart in particular, the muscles, the cells, get fed, get perfused with oxygen and blood.
  - Q. We heard some testimony a few weeks ago
- now from other witnesses about something called a
- 2 "shockable rhythm." Can you explain what a
- 3 shockable rhythm is.
- A. That's not a term I would use. But what
  I imagine they're talking about is if theyget any
  rhythm if they get a rhythm that's been known to
  be convertible or it can be changed into a normal
  sinus rhythm, a normal heart rhythm. So that you
  might see a rhythm that is abnormal, and you can
  shock it into normality by defibrillating it. I
- 11 assume that's what they're referring to.12 Q. Again, by "rhythm" are you referring to
- 13 electrical activity in the heart?
  - A. Yes.
- Q. Is there a point at which a person mayhave electrical activity in the heart that could be
- 17 shocked into a normal rhythm -- is there a point
- 18 you kind of go past the point of no return and you
- 19 can no longer shock that heart back?
- 20 A. Yes.
  - Q. Do you know how quickly that can develop?
- 22 A. I'm not sure.
- Q. Can it take hours? Can it take minutes?
- A. I would imagine it's on the order of minutes maybe.

- 1 Q. And, Doctor, in conducting an autopsy
- 2 when you have a relatively intact body, is there a
- 3 particular finding that sort of is the "aha"
- 4 finding? You know -- they say the aha moment --
- 5 you know -- where if you find this, you know beyond
- 6 any reasonable doubt that it was hyperthermia that
- 7 caused the death?

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- A. In the case of hyperthermia, there are no findings that are specific for hyperthermia. There
- 9 findings that are specific for hyperthermia. There
   10 is no -- I can't point to something anatomically
- 11 and say, aha. Hyperthermia. You can't do that.
  - But diagnosis is dependent on the investigation.
- Q. Can you explain what you mean by it's
- 14 dependent on the investigation.
  15 A. So if that body arrives at my front door
- without any history, and I do an autopsy, I'm notgoing to be able to tell you why that person died.
- 18 But the investigation into what led that person --
- 19 the sequence of events that led up to that person
- 20 being dead is what allows me to have an opinion
- 21 about why that person is dead. It's not the
- 22 autopsy but the circumstances surrounding the death
- 23 that make the diagnosis.
- **Q.** Now, Ms. Neuman's case, because she was
- in the hospital for those nine days, if, let's say,
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- 1 her body was anonymously delivered to your door,
- 2 would you at least be able to diagnosis the
- 3 multisystem organ failure?
  - A. Yes.
- 5 Q. Is the multisystem organ failure
- something that you, then, would expect to find in a
- 7 person who has suffered from hyperthermia?
- 8 A. As a late stage, yes, a terminal stage of
- 9 the sequence of events starting with hyperthermia.
- 10 But a lot of conditions have a final commonality in
- 11 multisystem organ failure.
- 12 Q. So can you tell us in general what
- 13 multisystem organ failure you found in Ms. Neuman.
- 14 A. Well, her liver failure is evident. The
- 15 fact that there is so much fluid in her lungs and
- 16 outside of her lungs speaks to respiratory failure.
- To Outside of her failige speaks to respect to
- 17 Those are the most obvious ones. So those -- yeah.
- 18 Based on the autopsy, that's really the evidence of
- 19 multisystem organ failure that I have.
- Q. We heard some testimony about from adoctor a few weeks ago or a month ago regarding
- 22 something called "DIC."
- 23 Are you familiar with that term?
- 24 A. Yes.
  - Q. Can you tell us what DIC is, and did it

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play a role with Ms. Neuman?

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A. DIC, disseminated intravascular coagulopathy. Basically, she is forming clots in a pathological way and lysing those clots. The clotting proteins in her blood are depleted. And she's a setup for bleeding spontaneously because she doesn't have -- the clotting factors have been consumed and are -- I'm not sure what more to say about DIC.

**Q.** Well, is DIC something that you would expect to see in every patient who suffers from hyperthermia?

A. No.

Q. Is it something that you would besurprised to see in a patient suffering fromhyperthermia?

A. No.

Q. Can you tell us -- we've heard from another doctor about nonspecific diagnosis or -- can you tell us what that is and whether DIC is something you would consider to be specific to hyperthermia or nonspecific to hyperthermia.

A. It's nonspecific to hyperthermia because a lot of other conditions have DIC as a consequence. It's -- for example, if someone is --

if their blood is infected with a bacteria per se, you might -- you would -- eventually you would see DIC develop.

But hyperthermia and sepsis, or blood infection, are two very distinct entities that might give you the same pathological consequence of an intravascular clotting, bleeding, disorder.

THE COURT: Excuse me. We need to take a precess for a few minutes.

Ladies and gentlemen, please reassemble
at 10 till, about 15 minutes. And remember the
admonition.

And, Dr. Mosley, the rule of exclusionhas been invoked in this case.

(Recess.)

THE COURT: The record will show the presenceMr. Ray, the attorney, the jury. Dr. Mosley hasreturned to the witness stand.

Mr. Hughes.

MR. HUGHES: Thank you, Your Honor.

**Q.** Doctor, turning your attention to Exhibit 363, which are your notes, can you recall

22 Exhibit 363, which are your notes, can you recall23 approximately when these notes would have been

24 made?

A. That's probably the day of the autopsy.

Q. I noted in the notes it indicated there

2 is a strikethrough of some language. It said, she

3 had eaten little for the preceding two days as

4 the -- and then it was stricken through. Can you

5 tell us why it is you struck that through?

A. You know, I didn't want to overwrite the
summary of reported circumstances of death. These
are notes, well, that I thought would never see the
light of day, much less be presented in court. I
guess I was just trying to tighten up what I had to
say in that section of the report called "reported
circumstances of death."

Q. Did you know at the time whether that waseven accurate, if Ms. Neuman had eaten little forthe preceding two days?

16 A. I do think that's accurate from what I've 17 been told or what I've read.

18 Q. If you were to learn that Ms. Neuman was
19 not one of the participants who did have little to
20 eat, would that change your opinion in the case?

A. No.

Q. Do you know -- is it possible you struckthat out because at some point you were informedthat was incorrect information?

MS. DO: Objection, Your Honor. Leading.

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THE COURT: Overruled.

You may answer that if you can,

3 Dr. Mosley.

THE WITNESS: I don't think so. I don't think that's why I left it out.

Q. BY MR. HUGHES: You indicated that this
was some sort of a draft for a document you were to
prepare later. Can you tell us what document it is
you were preparing.

A. My autopsy report.

Q. On the date, then, that -- can you tellus what day you actually performed the autopsy.

A. October 19th.

Q. Did you at that time have a preliminaryopinion as to manner and cause of death?

16 A. Yes. At that point all indicators were 17 that this was a heat-related death.

Q. Was that opinion based at all -- and I'm
going to show you Exhibit 886, which is your
investigator's report. Was that opinion based in
any part on the report by your investigator?

A. Yes. Although, I'm not sure what her sources are here. And in retrospect, I'm not sure where she gets, for example, dehydration, as there is a sentence under background. Several others

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from the sweat lodge became ill with heat exhaustion and dehydration. Well, I'm not sure 2 where the part about dehydration in particular came from.

Q. The part where it says, first she became ill from heat exhaustion and dehydration?

### Α. Right.

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Q. Would the fact one way or the other that Ms. Neuman did have dehydration or did not have dehydration -- would that influence your determination of manner and cause of death?

To some extent. I mean, typically when you often do see evidence of dehydration in someone suffering from heat stroke or hyperthermia.

Q. You said you often see. Is that something that is a requisite finding for a determination of cause of death?

No. Because heat all by itself is directly toxic to tissue without mechanistically acting through dehydration. So often the heat causes dehydration. And the consequences of the dehydration are damage to your organs.

But your organs can be damaged directly by the heat and not necessarily by acting mechanistically through dehydration.

Q. You mentioned as an example earlier a case involving -- that you had seen involving someone locked in a car?

> Α. Uh-huh.

Q. Was that an adult or a child?

A. It was a child.

7 Q. If -- as a hypothetical, if a person were 8 to hydrate well, to drink well, and they get locked 9 in a car in the hot sun in Phoenix for an hour or two, would you expect to see dehydration? 10

Not necessarily. So things like dehydration, what I look for -- and this isn't relevant to Ms. Neuman really because she's very different from what the typical presentation for hyperthermia is.

I look for things like does their skin tent when I press it. Is there enough fluid in the tissues that it will stay up when I pinch it. But chemically speaking, you can look at electrolytes in the eyeball fluid and see if they're skewed in a pattern consistent with dehydration -- too much solute, electrolytes, sodium chloride, and not enough water. So relatively there is a shift in the relative concentrations of those electrolytes in the eyeball fluid.

I'm sorry. I lost track of the question.

2 Q. So if that little boy -- his mom had him drink a lot of liquid and then left him in the hot car down in Phoenix locked in this hot car, would you expect -- since he was going in with lots of 5 fluid, say, in the belly, in his body, would you expect that he would be dehydrated at the time that 7 you found the body at the point of death? 9

Not necessarily. He might be -- there may be no evidence of dehydration if he properly was thoroughly hydrated before, or even overhydrated.

And you mentioned that Ms. Neuman was sort of a different case. Can you explain what you meant by that.

Well, typically most medical examiners' Α. cases that involve hyperthermia, the person is dead at the scene. She died nine days later at the hospital. There is a lot of thing that happened that I don't normally see in a hyperthermia case.

What are the sort of the things that 21 22 happened with Ms. Neuman that you wouldn't 23 necessarily see in a case where a person is found 24 dead at the scene?

> Well, she's got fluid in her tissues all Α.

> > 56

over her body. She's retaining a large amount of water. But that's the anasarca I referred to earlier. Jaundice. That's not what you'll find. It a very late finding and not something you will see in someone who dies of hyperthermia at the 5 6 scene.

7 Q. Now, if you performed your autopsy on October 19, can you tell us why it is that the 8 report was finally signed by you -- and showing 9 10 Exhibit 362. Why was the report published, so to 11 speak, on February 2?

12 I wanted to confer with my colleagues, Dr. Lyon in particular, to see what he was thinking 13 about this case. And I just wanted to mull it over 14 for a while and consider what evidence I had, think 15 about it, ruminate on it, and make sure I had --16 well, to see what I had as far as evidence to base 17 18 the cause of death.

Did you confer with or talk to a fellow 19 Q. 20 by the name of Dr. Czarnecki?

Dr. Czarnecki is my colleague in Coconino 21 County. There are two medical examiners. I did 22 but not in a very substantive way. I mean, 23 conversationally. Basically, shared with him the 24 25 facts of the case.

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A. Yes. He did. And so did a few of my other -- so did the investigators from my office.

6 Did you have that information available to you in making your determination?

A. Yes, I did.

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15 of 45 sheets

Q. You mentioned you wanted a chance to confer in particular with Dr. Lyon. Can you tell us who Dr. Lyon is.

Dr. Lyon is the pathologist, the forensic Α. pathologist, who performed the autopsies on the other two people who died in this case.

And why is it you wanted to confer with him?

A. I've known him for some time. I know him to be a thoughtful, logical person. And like having a trusted friend who you can -- you know well enough to value how they think and what they think, what their logic is.

In this business I spend a lot of time arguing with myself about what's going on. But 24 it's really nice to have someone else to argue with 25 as far as is it this or is it that. It's that kind

of internal dialogue where I'm going through the

logic, analyzing the findings.

And after I'm done in my -- well, metaphorically speaking, bouncing the thoughts in my own internal pinball machine, to bounce the thoughts in someone else's internal pinball machine and see if I come to a different opinion.

Q. Lawyers like to do that too. Did you then get an opportunity to

10 discuss the case with Dr. Lyon?

A. Yes.

Q. And do you recall about when that was?

We had a conference, a teleconference, a 13 while back. I'm not sure when that was. He voiced 14 his opinion. 15

Q. Was that late in October 2009?

Α. Maybe.

Q. Do you have any recollection?

A. I have a recollection of being on the 19 20 phone and the conversation and my dog wanting to chime in. I don't remember the date. 21

Did you actually -- strike that.

You said you were on the phone. Do you

know where the meeting took place? 24

I assumed it was the Yavapai County

1 Attorney's Office.

Q. And during that -- coming into that 2 meeting, what was your opinion as to the cause of 4 death for Ms. Neuman?

A. I thought it was hyperthermia.

And at the conclusion of the meeting, Q. what was your opinion as to cause of death?

A. It was, essentially, unchanged.

Hyperthermia. You know, the other investigative details that might have come up about the scene, I

didn't -- there was no other cause of death or 11

mechanism that was documented as a point of fact,

like some other exposure that might have 13

contributed that was not discussed in that meeting.

Q. And prior to the meeting -- did you have a conversation with Dr. Lyon in that meeting?

17 A. You know, I guess -- not a direct conversation. I spoke and he spoke. But when you 18 say "conversation," it sounds like just the two of 19 us are speaking. I might have, but I --20

Q. Did you express your opinion about 21 whether cause of death should be properly labeled 22 "hyperthermia" as opposed to "heat stroke"? 23

Right. And he dug in his heels about

calling it "heat stroke," and I dug in my heels

about calling it "hyperthermia." And we agreed to disagree about the exact phrasing.

3 Do you recall why it is you didn't -- at that point did not want to call it "heat stroke" as 4 opposed to "hyperthermia"? 5

Right. For reasons I mentioned earlier. 6 Α. Clinicians have very specific criteria for the 7 diagnosis of heat stroke. We aren't privy to those 8

criteria. We can't get a rectal temperature. We

can't evaluate mental status on a corpse. 10

so common for clinicians, I avoided by using 12 another term and choosing a more descriptive way of 13 assigning the cause of death, in this case 14

So because that wording "heat stroke" is

hyperthermia due to prolonged -- hyperthermia due 15 to prolonged sweat lodge exposure. 16

Q. And was that the position you were 17 advocating going into the meeting? 18

19 A. Yes. But -- you know -- I have to keep an open mind always. And if there is another piece 20 of the puzzle that I need to consider, well, then I 21 22 need to consider it.

Q. Going into the meeting, did you have an 23 opinion as to the manner of death?

I did.

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Q. And what was your opinion going into the 2 meeting? And then can you tell us why it is that 3 that opinion changed.

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intentional killing?

Well, as I mentioned earlier, or as we discussed earlier, I don't have a whole lot of boxes to assign manner to. I have homicide, suicide, accident, undetermined, natural. I don't have first degree homicide, second degree homicide.

And for a forensic pathologist, the working definition for "homicide" is death at the hands of another, a seemingly wide open kind of working definition.

So in my opinion, going into the meeting, 14 I thought that maybe this should be classified as a homicide because her death occurred, in my opinion, at that time at the hands of another. It wasn't her own hands.

But after conferring with my colleague, Dr. Lyon, he pointed out to me that this death is more consistent with other deaths that we have as a group of professionals generally called "accident." And so I decided after further consideration to 22

23 rule it as an accident. Q. And I asked you earlier about a 24

hypothetical about a drunk driver hitting a 25

pedestrian. Is it your belief, then, that the term 2 of homicide is reserved when there is an

A. That's generally true. And that scenario 5 you described with the drunk driver killing someone -- that would be traditionally ruled as an 6 accident. Although you can make an argument that 7 the person died at the hands of another.

During the course of your investigation up to the time you issued the autopsy report on February 2nd, did you have any toxicology --

A. I'm sorry. Can you repeat the question.

Up to the point where you issued your 13 report on February 2nd, had you had any testing of 14 15 blood or body samples, any sort of toxicology 16 testina?

17 A. I did not test Liz Neuman's body tissues, 18 blood, at the time of the autopsy.

Q. At some point in time after the autopsy, in fact, after the trial began, were you contacted and asked to do some testing for organophosphates?

A.

Was that the first time you had heard the Q. term "organophosphates"?

No. No. I remember hearing it in

1 medical school.

A.

Q. Well, that was a bad question. Was that 2 the first time you heard about it with respect to 4 Ms. Neuman?

> Α. Oh. Yes.

And you mentioned you heard about it in 6 Q. medical school. Have you ever in your practice, both as a medical student or resident or in your fellowship or in your professional career since then -- have you ever actually seen a patient who 10

has died from organophosphates? 11

No.

And when you were contacted, then, after 13 Q. the trial had begun in 2011, did you have an 14

opinion as to whether the testing for 15

organophosphates would yield much information at 16 17 that point in time?

A. Well, let me say I knew it would yield 18 information. But I didn't expect it could possibly 19 be positive. And I thought that to venture 20 interpreting that test as being indicative of what 21 her state was on the day she became ill is -- it 22 would be folly to try that. 23

Q. And why is that? 24

Well, there is so much of a delay in

getting the samples. She had other products, blood products, transfused into her. And my

understanding is that organophosphates don't last 3

that long in a specimen, particularly if it's 4

frozen, particularly as it's frozen, as her blood 5 samples from the autopsy were frozen. 6

Q. Is it correct, then, that you took some 7 blood samples when you performed the autopsy on 8 9 October 19?

A. I did. 10 Q.

records, do you know whether or not, for want of a 12 better word, the blood in her body on October 19th 13 would have been the same blood that was in her body 14 when she presented to the emergency department back 15 16 on October 8?

And based on your review of the medical

A. I'd expect it to be substantially 17 different. 18

Q. Can you explain that answer.

Well, there is so much that's going on in 20 Α. her blood chemistry over the nine days in between 21 presenting to the hospital and dying. But the 22 whole -- how the clinicians dealt with her DIC. 23

They gave her clotting factors and fluid. And her 24

body is still metabolizing. The chemistry of her

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blood is changing, and they're giving her things to replace things lost.

I guess I expected that her blood at the time of her death would be vastly different chemically from the way it was when she presented to the hospital.

Do you know whether blood was taken from her at the time she was taken to the hospital?

> Α. I believe it was.

And do you know, based on working in Coconino County, how long Flagstaff Medical Center retains blood that's taken?

A. They'll keep it for seven days.

Q. When Ms. Neuman, then, came to your department, was her blood at admission, then, even available to you at that point?

> No. Α.

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Now, if the sample had been positive that Q. you had tested earlier this year for organophosphates, would that result be significant?

Α.

Q. And how would that be significant?

23 A. Well, the symptoms -- the organophosphates -- and I don't know if you have 24

had a physiology lecture lately. But what the

organophosphate does is it blocks an enzyme called "acetylcholinesterase." Should I continue with this?

4 **Q.** I think we're going to have a physiology lecture from you in just a moment on that point. 5 But the question was, if the blood that you drew 6

from Ms. Neuman at the time of the autopsy did test 7

positive for organophosphates, what information 8

would that provide to you and to the parties in 9

10 this case?

A. Well, it would be -- it would explain some of the findings that Ms. Neuman and a few other participants exhibited that are inconsistent or atypical for heat stroke or hyperthermia.

Q. Now -- I think we're going to start delving into the physiology about at this point. When you reviewed Ms. Neuman's medical records, do you recall whether there was some debate in these 19 records from her doctors as to whether she was suffering from a cholinergic or an anticholinergic

Α. Yeah. There was. The wording gets a little sticky here because it's a cholinergic syndrome, meaning too much acetylcholine, or an anticholinesterase syndrome. So it's -- if the

enzyme that deactivates the acetylcholine is blocked, what you get is excess acetylcholine and

the symptoms of having too much acetylcholine at

the juncture of two nerve endings that -- they communicate with chemical signals.

At the time, then, you prepared your Q. autopsy report, had you reviewed that debate, if you will, between the doctors, Ms. Neuman's doctors?

Α. Well, I hadn't looked at it. But I didn't draw any conclusions from it because there is nothing in evidence that would suggest a source. I was unaware of anything that would account for the symptoms that they described at that time.

If there was nothing at the scene, no toxin at the scene that would cause a cholinergic or anticholinergic syndrome, what would your opinion be about the manner and cause of death for Ms. Neuman?

Well, I would sign it as I did, with Α. 20 hyperthermia being the cause of death. I rely on 21 what I can prove. I can prove -- well, I have 22 reasonable medical certainty that the sweat lodge 23 was hot. I don't have any indication to believe that there was organophosphates at the scene that 25

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would have produced the symptoms that the

clinicians observed. 2 Q. And, Doctor, at some point after the 3

trial began, were you asked, then, to go back 4

through Ms. Neuman's records and see if you could 5

make a determination to rule in or rule out, so to

speak, based on the medical records whether it

appeared that the symptoms were similar or

dissimilar to organophosphate poisoning? 9

I did. And the symptoms are similar to 10 organophosphate poisoning in a few of the 11 12 participants.

13 Q. And were you provided with medical records, then, of the other people who went to 14 Verde Valley Medical Center and Flagstaff Medical 16 Center?

Α. I was.

> Have you been able to review all those Q.

19 records?

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Α.

Have you reviewed some of the records? Q.

Α. I have. 22

And can you tell us what records you've 23 Q. 24 reviewed.

Α. My main focus was on Liz Neuman, the

syndrome?

person whose death certificate I signed. And I am blanking on the names. There are a few other people -- two other people whose records I reviewed.

Q. Did you provide an interview earlier, I guess, now in April of this year?

> A. Yes.

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Q. And at that interview did Ms. Do ask you to look in particular at the medical records of certain participants?

> A. Yes.

12 Q. And were those the other records, then, 13 that you looked at?

> Α. Not all of them.

Do you recall at all which of the records Q. 15 16 are that you looked at?

A. I don't want to risk getting the names wrong, so I can't say.

Q. Okay. We'll get back to that point in a minute or two. Can you tell us then -- if you need to, you can use the easel to the side of you.

First of all, can you tell us what -- do particular types of poisons have particular symptoms that are common for those types of

25 poisons?

> Α. Yes.

2 Q. And is there a name for the symptoms that are common to particular types of poisons? 3

A. I'm not --

Q. Can you tell us what a syndrome is.

So a syndrome is the signs and symptoms 6 that are produced given a particular toxin. 7

8 **Q.** And do you know what the typical signs 9 and symptoms are for a person who is suffering from 10 organophosphates?

A. Yes. Well, the mnemonic -- there are a few of them. The one that I've managed to retain is SLUDGEM. Salivation; lacrimation or tearing; urination; defecation; gastrointestinal, GI, hypermotility; emesis, throwing up; and a couple of 15 things you can add, like miosis, m-i-o-s-i-s, which is pinpoint pupils; and muscle twitches. So that's organophosphate toxicity syndrome.

19 Q. Are those signs and symptoms common for 20 all organophosphates?

A. I think some more than others. Some are more like -- I mean, there are several organophosphates. I think some are more likely to produce more severe symptoms than others.

You mentioned, for example, some of these

symptoms on the side, salivation. And you can take

a seat if you want. You mentioned salivation. 2

Would you expect that a -- is there a degree of

4 salivation that you would be looking for if someone

was suffering from organophosphate exposure? 5

Excessive, I think, to the point where 6 7 you notice where you'd say hey. This experience, salivating excessively, they would probably tell you that. And non -- the tearing, for reasons that aren't emotional. That's what the lacrimation is. 10 11

Tearing.

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Do you have any idea, Doctor, how much of 12 Q. an organophosphate a person would have to consume 13 before they become ill from it? 14

MS. DO: Your Honor, I'm going to object on vagueness and ask there be a specification of the absorption mechanism, whether it's ingestion, inhalation or absorption.

THE COURT: Okay. 19

As to form, Mr. Hughes.

BY MR. HUGHES: Doctor, are there 21

different ways a person can become exposed to 22

organophosphates, to poison? 23

> Α. Yes.

Q. What are those ways?

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A. Well, they can eat it. They can inhale it, and probably also be trans absorbed across the 3 skin.

Q. Do you have an idea of how much of the 4 toxin someone would have to absorb before they became noticeably ill from it? 6

A. You know, I suppose it depends on the 7 toxin. Some of these organophosphates are 8 extremely potent in very small quantities.

Q. What sort of organophosphates would be 10 11 extremely potent in small quantities?

> Α. Well, sarin. I think it's s-a-r-i-n.

Q. Is that a nerve gas used in warfare? 13

Well, sometimes it's found on trains in 14 Japan. But it's generally not used for pesticide control. Most organophosphates that people are 16 exposed to come from its use as a pesticide. So 17 malathion, parathion. Those sorts of pesticide are 18 19 organophosphates.

20 Q. If we limit the question, then, to the sort of pesticide organophosphates and not the 21 nerve gas ones, do you have any idea how much you 22 would have to consume before you had noticeable 23 signs of illness? 24 25

I don't know.

MS. DO: Your Honor, I'm going to object and 1 2 ask for additional foundation.

THE COURT: Dr. Moslev answered he did not 3 4 know.

THE WITNESS: Yes.

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6 THE COURT: Go ahead, Mr. Hughes.

Q. BY MR. HUGHES: But it is correct you, as a medical examiner, have never seen a patient come to you who has actually consumed enough to be 10 deceased?

### Α. That's correct.

Q. When you were in medical school, did you ever see a patient who had consumed organophosphates and then come to the place where you were doing, say, your residency or your medical school for treatment?

#### Α. No.

Q. Do you know with respect to those syndrome symptoms that you've mentioned there, whether tachycardia or bradycardia would play a role?

A. I'm sorry. I think that they might both. I think it would be -- I'm trying to remember the chemistry here. I think it would be bradycardia.

Q. Can you explain to us what bradycardia

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is.

A. A slowing of the heart rate. I'm not sure --

Q. Let me ask you this: Depending on the substance that's been ingested, would you expect to see all of those signs and symptoms, some of the signs and symptoms, or even none of the signs and symptoms?

A. So given a sufficient toxic dose, whatever that might be -- you know -- I honestly think that whether you see them or not depends on the dose. Which ones you see depends on the dose.

There is probably a hierarchy of what's more likely to occur at a low level versus what you might get only at a high level of organophosphate toxicity. But I'm not sure what those levels are 17 and what the order of sensitivity of a poisoned person to those findings are.

You mentioned that if you take away or don't consider whether or not organophosphates were used at the scene, based on your review of Ms. Neuman's records, they appeared at least to be consistent with exposure to organophosphates.

Can you expound on that and tell us what 25 it is about the records that you noted that

appeared to be consistent with exposure to

organophosphates. And you can use the easel. 2

3 Thank you. Ms. Neuman had miosis, which is the pinpoint pupils, which can be caused by organophosphate toxicity. And I think she also had --6

7 MS. DO: Your Honor, I'm sorry. I'm having trouble hearing the doctor. 8

9 BY MR. HUGHES: Doctor, I don't know if 10 that mic will reach over there or not.

11 A. She had miosis, which is the pinpoint pupils. And I believe she also had frothy sputum, 12 from what I've been told. I'm sorry. That's what 13 I've been told. I can't remember where in the records that was. But --15

Q. Do you remember who told you that? 16

A. I'm sorry. I'm blanking on that. I 17 think -- well, during the course of my last interview or the second-to-the-last interview with 19 defense counsel, I was told that three people --20 veah. I'm not sure where I got that from. 21

22 I'm assuming that she had -- I know she had pinpoint pupils because that was pretty well 23 24 documented in the records.

As far as frothy sputum, I think what I

heard was that multiple people said she had frothy sputum. I'm not sure if that's in evidence or not, but I do have a feeling. 3

Q. Is that something you may have been told 4 by someone during your interview? 5

It might have been. I'm sorry. I'm not 6 7 exactly clear on that right now.

Do you know what other things you saw in 8 the medical records on that list? 9

Well, these are nonspecific findings. 10 But I believe she had defecation, which I often see 11 that, unfortunately, in that people who present to 12 my office -- a loss of continence. 13

Q. Would you put a check or maybe just a 14 mark. I want to go back and ask you about some of 15 16 those.

Let's say assuming that the mechanism 17 Α. that produces salivation also produces frothy 18 sputum from whatever source, either the salivary 19 glands or in the lungs somehow. I'll give that a 20 check. Defecation. I'm not sure about 21 gastrointestinal hypermotility. A lot of people at 22 the scene had emesis. That's also vomiting. 23 That's also a very nonspecific finding. 24

So I would say we can assume that there

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- is some sort of salivation, defecation and the
   miosis. Probably the miosis is the most specific.
   But even still it's not that specific. There are
- other causes -- multiple other causes of miosis.
  - **Q.** What are some of the causes of miosis?
  - A. Hypercapnia.
  - **Q.** And can you tell us what hypercapnia is.
- 8 A. It refers to too much carbon dioxide.
- 9 The amount of carbon dioxide in the air we
- 10 breath -- it's very small. And it's less than a
- 11 10th of a percent. If it should elevate, it will
- 12 cause reflexes to occur, automatic reflexes, to get
- 13 you to take in more air, to breathe harder. And
- 14 when those are activated as a result of
- 15 hypercapnia, one of the things that also happens is
- 16 miosis.

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- 17 Q. Now, based on -- and I'm going to ask you 18 a hypothetical. Assuming you had an enclosed
- 19 structure, like the sweat lodge in this case, that
- 20 did not allow much air to transfer in and out, and
- 21 you had somewhere between 40 and 60 people in that
- 22 structure, would you expect carbon dioxide levels
- 23 to be elevated inside?
- 24 A. Yes.

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**Q.** Why is that?

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- 1 A. Well, because we breathe in oxygen, and
- 2 we expel CO2. And if there is a lot of CO2
- 3 expulsion occurring in a closed environment over
- 4 time, I would expect the CO2 level to rise.
  - Now, I can't prove that that happened.
  - But it's something I might expect to have happened
  - based purely on a lack of air flow and multiple
- 8 people in a small space breathing.
- **Q.** And once you get out of that small -- for
- 10 the hypothetical, say, I'm in this space with 40 to
- 11 60 other people and I'm breathing what they're
- 12 breathing, and they're breathing what I'm breathing
- 13 for guit a while, an hour or more. If I stop
- 14 breathing inside and am carried out, would the
- 15 level or would the effect of the carbon dioxide on
- 16 me, including on my eyes, be noticeably different
- 17 than on someone who is able to come outside and
- 18 keep breathing on their own?
- 19 A. Yes. For one, the carbon dioxide 20 exposure would be uninterrupted. And there are
- 20 exposure would be uninterrupted. And there are21 very old studies on this. But if you increase the
- 22 CO2 in a person's blood, the variety of mechanisms,
- 23 you can get -- so pupil size and blood CO2 are
- 24 inversely proportional. So if CO2 in the blood
- 25 goes up, pupils shrink.

- Q. Now, with respect to the pinpoint pupils
- 2 or this miosis, if I come out of the lodge and I'm
- 3 able to start breathing the fresh air outside, how
- 4 quickly could my pupils return to a normal state,
- 5 assuming I'm otherwise healthy?
- 6 A. I didn't find an article that really went
- 7 into how long that takes. But it would, I think,
- 8 depend on the acidity of the blood and how quickly
  - the CO2 dissolved in your blood is taken off.
- **Q.** And you mentioned the hypercapnia as a
- 11 possible cause for miosis. Are there some other
- 12 possible causes for miosis that might present
- 13 themselves in a situation such as Ms. Neuman's
- 14 case?
- 15 A. Well, brain damage is ensued because this
- 16 is all nervous system related. If the centers that
- 17 control pupil size are affected, then -- you
- 18 know -- you might get a brainstem level miosis.
- 19 But ---

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- 20 Q. Is that something that would be
- 21 reversible?
  - A. No.
- 23 Q. Do you know whether there was any brain
- 24 damage in Ms. Neuman's case?
  - A. Well, there was. Yeah.

- Q. And how is it that you know that?
- 2 A. Based on the clinical information
- 3 provided to me.
- **Q.** Do you know whether the brain damage that
- 5 Ms. Neuman suffered was such that it could or could
- 6 not cause the miosis that was observed?
- 7 A. It's a complicated system. And I can't
- 8 tell you whether her brain damage would have
  - affected it one way or the other.
- 10 Q. You mentioned a few minutes ago that
- 11 organophosphates clear out of the body relatively
- 12 quickly. Do you know how long it takes?
- 13 A. I don't.
- 14 Q. At some point once an organophosphate is
- 15 cleared out of the body, what would you expect --
- 16 if a person was suffering from organophosphate
- 17 poison, what would you expect to see happen to
- 18 their pupils?
  - A. They return to normal.
- 20 Q. In Ms. Neuman's case, did she have any
- 21 transfusions or additions of blood?
- 22 A. I'm not sure about whole blood, but blood
- 23 products for sure.
  - Q. And would you have an opinion in
- Ms. Neuman's case how long those organophosphates

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- 1 might have stayed circulating in her body before
- 2 they would be gone?
- 3 A. I don't know.
- Q. Okay. Moving up the list, you mentioned
  emesis. And you said that is vomiting or throwing
  up?
- 7 A. Yes. Vomiting.
- Q. And is that something that is specific tothe poisoning of organophosphates?
- 10 A. Not at all.
- 11 Q. Is emesis something that you would expect
- 12 to see in people who were suffering from heat
- 13 exhaustion?

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- A. Yes.
- Q. Is miosis something that you would expectto see in someone suffering from either heat
- 17 exhaustion or heat stroke?
  - A. No.
- 19 Q. Do you know whether miosis is something20 that heat stroke can cause?
- 21 A. Is miosis something -- I don't know. I
- 22 don't know if it can or cannot. But it's not
- 23 typically associated with -- in the heat stroke
- 24 syndrome, there is no check box for pupil changes.
- 25 Q. You testified earlier that people can
- 1 present in different stages of suffering from heat
- 2 stroke?

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- 3 A. Yes.
- 4 Q. In other words, you can get the little
- 5 boy out of the car after he's been in there for
- 6 maybe 30 minutes as opposed to when he's been in
- 7 the car for an hour and a half?
  - A. Yes.
- 9 Q. Depending on the -- the -- correct me. I
- 10 don't want to put words -- incorrectly say what you
- 11 testified to earlier. But you said the severity of
- 12 the symptoms can depend on the amount of heat and
- 13 how long you're exposed to the heat?
  - A. Exactly.
- **Q.** And on the level, then, of symptoms that
- 16 you would expect from heat stroke, if you fall on
- 17 the most severe side where you've stopped breathing
- 18 and your heart is stopped, at that point on that
- 19 spectrum from heat stroke, would it surprise you to
- 20 see someone who was exhibiting miosis?
  - A. I'm sorry. Can you run that by me one more time, Counsel, please.
- 23 Q. That maybe is a disjointed question. If
- 24 you're talking about a patient who is on the
- 25 extreme end of heat stroke where they've actually

- 1 stopped breathing and their heart is stopped; in a
- 2 person such as that, would it be surprising to see
- 3 something like miosis?
  - A. I think it would be surprising.
  - Q. And would you expect to see any sort of
- 6 brain damage in a person like that?
  - A. Yes.
- 8 Q. And would you expect to see the sort of
- 9 brain damage that could cause the miosis?
- 10 A. Possibly.
- 11 Q. And moving back up the list, there is
- 12 defecation. Is that something that was observed in
- 13 Ms. Neuman?
  - A. Yes
- 15 Q. And do you know where -- was it observed
- 16 by the EMS providers or at the hospital or at what
- 17 point it was observed?
- 18 A. I think it was very early on in her
- 19 presentation. It might have been in flight or in
- 20 the ER.
- 21 Q. And is defecation something that's
- 22 specific to exposure to organophosphates?
- 23 A. No.
- Q. And can you tell us some of the other
  - 5 things that might cause a person -- other than just
- 84
- 1 normal -- you know -- daily functions, what would
- 2 cause the sort of defecation that you're speaking
- 3 about?

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- 4 A. Well, I can't think of any specific
- 5 condition that aside from -- it's hard to say
- 6 because it's a normal, daily function that people
- to a contract to the contract
- 7 defecate in a nonsyndromic manner every day. So to
- 8 assign a pathological condition -- it's so
- 9 nonspecific, I have a hard time answering that
- 10 question.
- 11 Q. The -- I think we talked earlier about
- 12 whether a person who becomes severely ill and has a
- 13 loss of consciousness, whether that can affect
- 14 their ability to control their airway so that
- 15 they're breathing?
  - A. Yes.
- 17 Q. Can that level of loss of consciousness
- 18 also affect a person's ability to control their
- 19 bowels?

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- A. Yes
- 21 Q. And is that level of consciousness -- is
- 22 there a scale that's used by doctors and medical
- 23 providers in assessing a person's level of
- 24 consciousness?
  - A. Yes. There is the Glasgow Coma Scale,

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### 1 which I hope you won't ask me for the details of.

Q. Well, let me ask you this: And I'm going to show you the Exhibit 365, which are Flagstaff Medical Center records that contain the Guardian Air record, the air ambulance record.

6 Does that record show you what the 7 Glasgow Coma Scale is at different times -- and I'll point out to kind of speed things up. We're 9 almost at lunch -- at different times for Ms. Neuman? 10

A. Yes. So it has three categories. And she's listed at 1825 as 1, 1 and 5. And I'm sorry. 12 I can't tell you what each category is.

14 Q. I'm sorry, Doctor. Can you speak into 15 the microphone?

A. At 1825 hours, 6:25 in the evening, the 17 flight records indicate that her Glasgow Coma Scale is 1, 1, and 5. And I'm sorry, but -- well, that would suggest that she's very comatose. The higher the number, the better off you are. But a 1 is bad, a 1 is bad. Five is better. But I can't --22 I'm not familiar enough with the criteria of each 23 to tell you exactly what that means.

24 Q. You indicated, though, that it appears 25 that she would be comatose based on that score?

somewhat of an unworkable position. I think you 1

just heard some testimony from Dr. Mosley regarding

carbon dioxide. We have searched the record during

the lunch and have not found any reference to 4

carbon dioxide by the doctor prior to today. 5

If I may approach, Judge?

THE COURT: Uh-huh.

MR. KELLY: Procedurally, Judge, I believe on 8

April 13, the Court entered an order finding a

Brady violation. And now we have an email on the 10

15th where Dr. Mosley was provided a copy of

12 Dr. Haddow's report.

13 THE COURT: Okay.

MR. KELLY: And, Judge, if you recall in our 14 earlier discussion this morning in regard to the 15 redirect examination of Detective Diskin, I would refer you to at 3:22 p.m. in the afternoon page 181 of the contemporaneous transcript.

And the exact question was, is that 19 consistent -- excuse me. Backing up, 20 Detective Diskin testified, I believe that the 21 deaths were a result of a combination of heat plus 22 23 carbon dioxide.

And the exact next question was, is that 24 consistent with the information that you learned 25

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#### Α. Yes.

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Q. And would the loss, then, of bowel functions in a comatose patient be something that would be unusual?

### Α. No.

MR. HUGHES: Your Honor, would this be a good 6 7 time to stop?

THE COURT: Yes. Thank you, Mr. Hughes.

9 Ladies and gentlemen, we will take the noon recess. Please remember the admonition. 10

11 Please be reassembled at 1:30. I have to recess

for another hearing -- another case at 4:00. 12

Remember the admonition. 13

And, Dr. Mosley, you're excused at this

15 time too.

16 We will be in recess.

17 (Recess.)

(Proceedings continued outside presence

19 of jury.)

THE COURT: The record will show the presence of Mr. Ray and the attorneys. And the jury is about to come back. I was informed there 22 was apparently a legal issue to discuss perhaps.

24 Mr. Kelly.

MR. KELLY: Judge, we find ourselves in

from the man named Rick Haddow?

2 Again, Judge, I don't want to beat this

horse to death, but we stood up here yesterday

afternoon, and I was limited during

cross-examination in regards to discussing the 5

content of Mr. Haddow's report, the exculpatory 6

7 nature of that report.

8 And then the prosecutor clearly asked a question using his name as to the substance of the 9

report and it's inculpatory nature. And we just 10

heard today from Dr. Mosley for the first time --11

Ms. Do reviewed her prior interviews. And for the 12

first time we hear the word "carbon dioxide" as a 13

contributing factor, and then find out going 14

through our emails that despite your ruling that 15

there was a Brady violation. They took the Brady 16

material and disseminated it to the doctor. 17

Again, I don't know how to describe this 18

other than as unworkable. And the only possible 19

remedy would be a mistrial with prejudice. This 20

appears to be purposeful conduct. Your ruling was 21

clear. And yet they attach that to a testifying 22

witness who is an expert, who a few moments ago 23

again discusses carbon dioxide after we've been 24

precluded mentioning anything to that effect. 25

We've submitted, I believe, three 2 requested contemporaneous jury instructions, which two of three have not been read to this jury. One was read before the cross-examination of Detective Diskin.

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But I think, Judge -- I would submit that 7 we're past the point of being able to cure this deficiency. And unfortunately the only remedy is a mistrial. And then we would request time in which to brief whether or not that mistrial is with prejudice or not.

THE COURT: Before the state responds, Mr. Kelly, I don't remember saying, could not inquire about the report. I thought you were just saying we don't want to open this up. We're going to stay away from it.

Because I indicated you could use that information if you wanted, but the state was not. I think that was the gist of it.

But if you didn't go into it, it wasn't because you couldn't have. It's just then we're going to have that issue of is the door open? Then now we can talk about this or that.

I don't recall ordering that you could 25 not go into this if you wanted to. I don't think

this changes the posture of what you're talking about to any great extent.

3 But I just wanted to clear that up. 4 MR. KELLY: And, Judge, I believe, and we

would all agree, that the record speaks for itself. 5

6 Just to correct Ms. Polk's statement from earlier

7 today, she did mention Rick Haddow's name. She did

talk about inculpatory information. I never did. 8

So the door was never opened. 9

THE COURT: I know. But I'm saying you indicated I ordered or something you can't talk 12 about that. And I did not, to my knowledge. If I 13 did, it was really inadvertent.

MR. KELLY: My recollection, Judge, is there 15 was strenuous objections from the state. I had 16 three specific questions that we agreed upon. 17 April 19 you received the email. You bumped it to

18 the county attorney. 29 days after trial we

19 received that information, and the result was a

20 continuance. And those are the three specific questions I asked after lunch yesterday. 21

And I -- If I misunderstood the Court's 22

23 ruling, I did. But I was under the belief that I 24 could not ask the exculpatory information. And

25 I'll stand corrected if that's not your ruling.

More importantly, Judge, is, again, the root of this problem is a Brady violation.

THE COURT: I don't want to leave the point 3 you just raised. What would have happened if you

asked more is we were going to be into a door-open

kind of situation. That's the way I looked at it

at the time. It wasn't, as far as I'm concerned,

because of that late disclosure, whatever you

9 wanted to ask about that.

10 But when that happens -- you know -- what is the continuing sanction for the state to not be 11 12 able to go not back into it and all the discussion, and so you asked the three questions? But I 13

thought it was to avoid -- just to move on with 14

this, leave it alone, except for the discovery

aspect, which was covered. 16

But anyway, that's my interpretation of 17 that. 18

19 MR. KELLY: Of course, the real problem now is -- and when I use the term "unworkable," we're 20 now in a position of having -- and I'll use the 21

word "infected" -- that the witness opinions now 22

are infected by a document which this Court clearly 23

found to be a Brady violation. 24

And so Ms. Do for the first time on

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direct examination hears this doctor use the word

"carbon dioxide," which has never been mentioned. 2

3 So it's more than the Rule 15 violation, why I

assumed, which I looked up. And they were

obviously obligated to disclose that information. 5

What we're doing -- what the state's, 6

essentially, doing is back dooring in the 7

inculpatory nature of a report upon which you found

a Brady violation. That's what makes, in our mind, 9

10 unworkable.

THE COURT: The word that caught my attention 11 during Dr. Mosley's testimony was "hypercapnia."

13 MR. KELLY: Correct.

THE COURT: Because I'd never seen that before 14

15 I saw the Haddow email preliminary report. And --

well, Mr. Kelly, I need to ask you this, though, 16

before I have the state respond: What do you see 17

is the significance of the April 15 email, first 18

supplying to Dr. Lyon and Dr. Mosley various 19

20 documents, and then it shows going to defense

lawyers later, two minutes later? What 21

significance do you attach to this? Because -- go 22

23 ahead.

MR. KELLY: Three points, Judge. First of 24 all, typically in a criminal case the state rebuts

1 the defense case during its rebuttal, not during 2 it's case in chief. Apparently what they're 3 attempting to do by coaching witnesses in advance 4 of their testimony is to rebut Mr. Li's opening 5 argument. I've never seen that. But apparently 6 that's going on.

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The second is, of course, as I've mentioned, a disclosure violation. Because if an expert witness is going to provide an opinion as to a medical basis or some term of physiology which underlies his ultimate opinion as to the cause of 11 12 death, such as hypercapnia or carbon dioxide, that 13 has to be disclosed. We can't be caught off guard right there in the middle of a jury trial. 14

And then, finally, and most importantly, 16 Judge, and this is what I ask you to consider: I 17 believe that shows the purposeful nature of the 18 violation, the fact that a court would rule that 19 this is a Brady violation, that this material 20 violates the United States and Arizona Constitution 21 and ignoring that court order, then sends it to 22 future testifying witnesses in advance of their 23 testimony apparently to sew up or attempt to rebut 24 Mr. Li's opening.

And so as to that last point, Judge,

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1 that's what I would submit is the most egregious 2 violation. And, again, it leaves us in the

3 position now, looking at the names, the recipients

4 of the email and Haddow's report, has this trial

5 been so infected with unconstitutional evidence

6 that my client cannot receive a fair trial? And

7 we're submitting it has. It's a mistrial. And if

8 It's purposeful, It's with prejudice.

THE COURT: Mr. Hughes, did you discuss any 10 connection between hypercapnia and miosis with Dr. Mosley?

MR. HUGHES: Your Honor, I have not discussed 13 that. In fact, I was commenting at lunch that was the first I heard about the fact that hypercapnia 14 could cause miosis.

But I would like to address some --THE COURT: Okay. I'm going to give you that opportunity obviously. But -- well, go ahead, 18 19 Mr. Hughes.

MR. HUGHES: Thank you, Your Honor. First of all, after the Haddow report became known to the state, we did provide it to experts --

(Pause in proceedings.)

THE REPORTER: We did provide it to experts?

MR. HUGHES: We did provide that and a number

of other things, including medical records of the

participants which we wanted the experts to review.

If you remember, this issue had come up with

Dr. Lyon. And the Court ruled if we were providing

additional information to the expert, the defense

should have an opportunity to interview the expert. 6

7 We inquired of Dr. Lyon. If the Court

recalls, Dr. Lyon said he didn't look at anything. 8

So I believe the defendant -- and they may have

interviewed him anyway. I don't recall if they did 10

11 or did not.

However, with Dr. Mosley the defense did 12

13 avail itself and conducted an interview of

Dr. Mosley after this email went out. In fact,

they did two interviews, one on a Monday, I 15

believe, that was the 18th or the 19th. 16

And then Ms. Do informed me the next day 17

18 she'd forgotten to ask some questions, and we

19 allowed another interview to take place with

Dr. Mosley the following day, which would have been 20

the 19th or 20th. So they did have an opportunity 21

to talk to Dr. Mosley about the newly disclosed

23 information.

With respect to carbon dioxide, 24

Dr. Mosley mentioned carbon dioxide to the defense 25

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1 in a defense interview in May of 2010. I'm

referring specifically to Defense Exhibit 683,

which is a defense transcript of the interview that

was conducted of Dr. Mosley by Ms. Do and Mr. Li.

And on page 33 Ms. Do -- starting on

line 1 Ms. Do asks the doctor if he'd discussed

7 differential diagnoses with Dr. Lyon or

8 Dr. Fischione.

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Dr. Mosley's response to that is, sort of 9

in the sense of oxygen deprivation being so --10

suffocation. And -- you know -- this may well have 11

occurred. So we're all -- the oxygen in the air we 12

breathe may seem like it varies widely from 13

14 Flagstaff to Phoenix, but it doesn't really. It's

always at 21 percent or something like that. 15

But you drop the oxygen content to where

you just say 15 percent, that can kill you if you 17

stay in that room. CO2 goes up. 18

19 So if the air -- the oxygen content of

the air is what is changing substantially or 20

dramatically -- well. I just contradicted myself 21

there. I was just saying that it doesn't have to 22

23 change much.

Mr. Li asks, a few percent is substantial 24

and important? 25

And Mosley replies, yeah.

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there.

And then Mr. Li asks, okay. So go ahead 3 and finish the thought.

Mr. Mosley -- Dr. Mosley responds, it 5 brings an annulment of suffocation and the cause of death as opposed to pure hyperthermia. But I think in consideration of that, I still felt that 8 hyperthermia was the overriding cause of the suffocation element. While it may be present, I have no way to prove it, and then goes on from

Ms. Do asks, and then that oxygen deprivation possibility was discussed and eliminated by all three?

Mosley says, well, eliminated? I don't 16 know if I could eliminate it. I still can't 17 eliminate because I don't -- I just felt I couldn't prove it and what I could prove -- I would feel I 18 couldn't prove, so to speak, with the 20 circumstantial evidence.

It was discussed, Your Honor, back in 22 May. When Haddow's report came to light, the state 23 did provide it. It's not my understanding that the 24 Court when the ruling was made regarding Mr. Haddow 25 said that -- the Court said the state and defense

questions I haven't gotten to with the doctor about

carbon dioxide. Those are part of his differential

diagnosis. And it's an appropriate subject for me

4 to go into.

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THE COURT: Mr. Kelly, anything else?

MR. KELLY: Judge, again, I would emphasize 6

that this is a Brady violation that we're talking

about. And it's the connection between the

question on redirect yesterday -- is that

consistent with the information that you learned 10

from the man named Rick Haddow, and now today for 11

the first time a discussion from Dr. Mosley 12

13 regarding carbon dioxide.

I stand corrected as to the prior 14 15 interview. I don't dispute that. But his cause of death now apparently has incorporated the Haddow 16 report, which was provided to him, undisputed by 17 the state, two days after your ruling. 18

19 It's my understanding -- Ms. Do can 20 correct me if I'm wrong -- that during the interview on April 19 that she conducted, there was 21 no mention of basing his opinion on carbon dioxide 22 23 and hypercapnia. And I think she asked him the direct question, the materials he had received, and 24

25 had not mentioned Haddow.

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could inquire into that, could call Mr. Haddow. 1

When we provided this email to the 3 different experts, it was to provide all the

4 information that we expected they would be asked

5 about by Ms. Do, Mr. Li and the defense team,

6 similar along the lines of when Dr. Lyon testified

and was asked a number of questions about things 7

that had or had not been provided to him.

As far as I know, there is no order that 10 said we couldn't provide that to them. We did provide it. We let the defense know we provided 12 it. We let the defense conduct an interview of 13 Dr. Mosley.

And the defense conducted a lengthy 15 interview of Dr. Mosley, again, on the Monday and 16 Tuesday of the 18th and the 19th of April. And 17 they've had -- and then I even gave them a copy of the tape-recording of it because they had issues 18 19 with a tape-recording.

So this is information the defense had, 21 information they were able to talk to Dr. Mosley about, information they inquired of Dr. Mosley back in May of 2010, Dr. Mosley's opinions about carbon dioxide.

And, quite honestly, I have a number of

So, again, we're in a situation that I 1 2 can only describe as unworkable or that the remainder of this trial has been tainted now by a Brady violation that's infected the testimony of

5 these witnesses.

And it allows the back-door presentation 6 of inculpatory information but precludes us from presenting the exculpatory information from that report, which the Court had found existed. 9

Finally, Judge, again, I'd renew -- we 10 believe that a mistrial is the only remedy. If 11 that's going to be denied, then I'd ask again that 12 our instruction regarding the Brady violation be 13 provided to this jury today as these facts are 14 15 presented in front of this jury.

16 MR. HUGHES: Your Honor, if I can respond to that. Dr. Mosley today testified that if you take 17 out the -- if there is no evidence of 18 organophosphates at the scene, he is still of the 19 opinion that hyperthermia is what killed 20

21 Ms. Neuman.

The doctor -- and I'm needing to go 22 there. But the doctor is going to explain his 23 differential diagnosis, which is the same 24

differential diagnosis that was discussed back in 25

May, in which the doctor said back in May he didn't 2 think he could eliminate it; and he says, I still don't think I can eliminate it. That was back in his interview in May.

It's a differential diagnosis he had in 6 May. And I believe it will still be a differential 7 diagnosis he can't exclude to this day. I think 8 it's appropriate for me to ask questions about it. 9 It was something brought up in the defense 10 interview and something I certainly was expecting the defense would bring up also since it's a 12 differential diagnose, the doctor said he could not 13 exclude as a cause of death.

MR. KELLY: Judge, could I have one thing 15 briefly? The doctor also discussed, I believe, the 16 structure of the sweat lodge before the noon break, which, again, is directly related to the Haddow 17 18 report. And that was kind of news to us. That's 19 our record.

THE COURT: Well, Mr. Hughes presented a 21 question that characterized the structure. And he dealt with it in that fashion. I recall that.

MR. KELLY: In regards to the scope of the 24 testimony, it's Ms. Do's witness; but, again, we'd renew this motion and alternatively request that 25

you instruct the jury. And we've submitted some Brady instructions in that regard.

THE COURT: Ever since the late disclosure of 3 4 the Haddow report, there has been a real issue, serious issue, in the case. And I brought up yesterday. I asked if the defense was still urging the motion for the mistrial. 7

The question about Mr. Haddow -- was 9 there an objection and was that sustained in that exchange?

MR. KELLY: No, Judge.

THE COURT: Yes.

THE COURT: There wasn't an objection? 12

13 MR. KELLY: No.

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14 THE COURT: Okay. I'm not -- and again --

15 MR. KELLY: Judge, can I explain something for the record? 16

MR. KELLY: From the beginning of Melissa 18

Phillips on, I've complained to this Court about 19

the nature of the redirect examination and that the 20

requirement that I repeatedly object to the 21

22 improper form of questions.

And, again, there is always a strategy 23 decision that takes place during -- while 24

representing someone that you appear to be 25

obstreperous in front of a jury by repeated objections.

The record speaks for itself. I would 3 venture a guess that about 90 percent of my objections were sustained during the redirect conducted by Ms. Polk.

7 In addition, Judge, you had instructed us not to ask for a sidebar conference and instructed us that any motion for a mistrial would be made at 10 break.

THE COURT: But I also said, you need to ask 11 12 to approach. And I may not allow that. But I said that would be the signal that you're there. And if 13 I heard something that rang that bell, we would 15 have the bench conference. But that was my point on that is we were having so many bench

17 conferences, and we have these legal discussions. And I'm well aware of the importance of 18

discussing certain legal issues contemporaneously. 19

And that was my instruction. 20

If the defense felt there was a mistrial 21 22 situation, to ask to approach. And I would likely know what it was about, what that would be about. 23

But -- and I did say and we might do it then or do 24

it at the first break.

But I don't know why the state brought up 1 the Haddow report. I know that the state has had

their own issues with the defense, essentially,

4 testifying on cross-examination by making a

statement and then asking a witness sometimes

without knowledge, do you agree that this? Do you

know that this? And that was that kind of a

question from the other side but directly relating 8

to a Brady situation. They don't really equate.

At this point the motion for mistrial is 10 just, essentially, under advisement. I'm going to 11 12 continue today.

The issue of CO2. It has been in the 13 14 case. It was in the Grand Jury transcript to some level. It's been there. The state absolutely must 15 avoid any further suggestion there is some report 16 out there that sanctions some other inculpatory 17 18 theory that hinges on CO2.

But the motion is just, essentially, understand advisement right now. 20

Mr. Kelly, is this an extra copy?

MR. KELLY: That was my copy, Judge. But 22 perhaps we should mark it for the record. And I 23 will do that the next available moment. 24

THE COURT: That's why I'm asking. There will

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be a copy of this Bates 008143, a copy of that be 1 2 made of record.

3 MR. HUGHES: I believe that may have already 4 been marked by the defense as an exhibit.

5 THE COURT: Okay. Thank you, Mr. Hughes. Do 6 you have a number?

7 MR. HUGHES: I will look through and see. I

8 know a number of my emails to Dr. Mosley have been 9 marked.

10 MS. DO: I didn't mark that one, Your Honor, for cross-examination. And that is a copy the 11 12 Court can keep.

13 THE COURT: Okay. So 1007. And we just need 14 to make clear that is not a trial exhibit. It will be kept separate for those purposes. 15

So we do have to recess at 4:00, as I've indicated, for another hearing. I'm going to ask that whoever is conducting the examination, please break about the middle, about 10 minutes, for the jury. About the middle of the afternoon. Thank you.

21 22 (Recess.)

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23 (Proceedings continued in the presence of 24 jury.)

25 THE COURT: The record will show the presence

of Mr. Ray, the attorneys, the jury. And

2 Dr. Mosley has returned to the stand.

3 Mr. Hughes.

MR. HUGHES: Thank you. 4

Q. Doctor, I think where we left off before

lunchtime, you were going through on the easel, and 6

we were talking about some of the signs and 7

8 symptoms that you found in Ms. Neuman's medical

9 records that you thought might also be consistent

with organophosphate poisoning? 10

> Α. Yes.

Q. We talked a little bit about miosis and 12

emesis, which is the vomiting. I think we were in 13 the middle or coming to the end of the issue of 14

15 defecation. Can a person whose Glasgow Coma Scale

16 is such that as is shown on that medical record

that we were talking about -- can that very low 17

Glasgow Coma Scale cause a person to lose control 18

19 of their bowels?

A. I believe it can.

Moving up the list, I think you checked

22 the salivation. Can you explain what it is is

salivation -- I think you said excess salivation.

Is that a specific finding to organophosphate 24

poisoning?

Α. It's not. But -- it's not.

Is excess salivation something or the 2 Q.

foaming -- you said excess salivation could be

4 viewed as foaming; is that correct?

Right. That's what I -- to fit the Α.

organophosphate hypothesis into the syndrome, I'm

7 assuming the excess salivation is -- might be

perceived as foaming.

9 How much foam would you expect to see in someone's mouth if they were suffering from 10 11 organophosphate poisoning?

I'm not sure if I know how to quantitate 12 13 something like that.

Would you -- let's say, would you expect 14 a teaspoonful or a half a cupful? What would you 15 expect to see coming out of their mouth? 16

17 I would think it would be a lot, would be noticeable. Probably be aware they're salivating a 18

lot. It wouldn't be a trivial amount. 19 Q. Let me ask you this: This is going to 20

seem like a strange question. But do you drink 21

22 coffee?

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23 I do. Α.

24 Q. Do know what a latte is?

I do. 25 A.

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Q. With a little bit of foam melted on top? 1

2 Α. Uh-huh.

If you were to see that amount of foam or 3

if a witness were to describe that amount of foam

was seen on a person's mouth, would you expect that

that would be the sort of excess salivation that

you would see in a person who had been poisoned by

8 organophosphates?

It might be. It might be that amount of 9 foam. It might be a lot more. It's really hard 10 for me to say how much foaming to expect from 11 12 organophosphate toxicity.

Q. I believe you testified a moment before 13 that you would expect to see quite a bit of 14

salivation. Is that correct? 15

> Α. Yes.

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Page 105 to 108 of 179

Q. Can you explain why it is a person who 17

has been poisoned with organophosphates would be

having quite a bit of salivation? 19

The autonomic nervous system, the 20 automatic nervous system, that functions in spite 21 22

of our will to function, is activated. And one of

those things that automatically occurs is 23

salivation. You have no conscious control over how 24

much you salivate.

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So in organophosphate toxicity, the switch is stuck on. So the impulse says salivate. And it's out of sync with what the body needs to happen. You salivate when you eat a meal. But if there is a toxic poisoning where the switch is stuck on, you just salivate without -- without any relation to what your body physiologically needs to do.

Q. And do you have an opinion as to how long after someone was exposed to organophosphates you would continue to see that excess salivation?

MS. DO: Object to the form. Vague. Depends on the amount, as the witness has testified.

THE COURT: Sustained.

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Q. BY MR. HUGHES: Doctor, are there factors that would influence how long after an exposure of organophosphates you would expect to see excess salivation?

Factors that would influence how long I Α. would see excess. Well, it would be a matter of how long it takes to wear off. And depending on 22 what that organophosphate is in particular we're speaking of, it would vary. But -- you know -- I 24 have no idea what the half-life of common pesticide organophosphates -- what their half life is. So I 25

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# can't really speculate on how long it would take to wear off.

Q. And with respect to a person who has been exposed to organophosphates, how quickly would you expect them to start salivating?

MS. DO: Objection to form.

7 THE COURT: Sustained.

8 Q. BY MR. HUGHES: Doctor, speaking

9 hypothetically, if a person had been exposed to

10 organophosphates and was displaying these pinpoint

11 pupils that we've talked about and had gotten to

the point where they were unconscious and their 12

Glasgow Coma Scale was such as you read from the 13

14 Guardian Air records, would you expect at that

15 point that if they were suffering from

organophosphates, you would see the salivation? 16

> Α. Yes.

And do you know -- is that something that Q. you would expect to see -- if there was frothy sputum in someone's mouth or excess salivation, is that something you would expect would be included in the EMS record?

> Α. It probably would be.

Do you know -- and turning your attention

to the exhibit in front of you, which has the EMS

record, can you let us know if that was something 1

that was actually noted by the paramedics who

treated Ms. Neuman. I can show you where the EMS

4 record is. It's right here in front.

Do you see where it says, Guardian Air?

Α. Yes.

Q. Page 1 of eight. Can you look through?

I don't see sputum or any reference to 8 Α. frothy sputum here.

10 Q. I know there are a number of pages. Take 11 vour time.

> Α. I'm not seeing it if it's in here.

13 Q. Doctor, other than exposure to

organophosphates, are there other things that can 14

cause this frothy sputum?

Yes. Well, typically for me, when I see 16 Α. frothy sputum, I think of narcotic intoxication or 17 drug overdose. It's due to central nervous system 18 depression and pulmonary edema. 19

20 Q. What is pulmonary edema?

> It's, essentially, water in your lungs, Α.

fluid in your lungs. And the lungs have spaces for 22

air to go. And when fluid is in those air spaces, 23

24 that's pulmonary edema.

> We talked earlier about someone suffering Q.

from hyperthermia or heat stroke. And using the

2 hypothetical example of the spectrum as the illness

progresses for the person in the hot car, if you 3

get to them at 15 minutes after being in the hot

car, are their symptoms going to be different than 5

If you get to them after an hour and a half in the 6

7 hot car?

I would think so in that -- I wouldn't 8 expect them to have pulmonary edema right away in a 9 hyperthermia case if that's all that's at play, if 10

that's the only factor. 11

If you got to them, then, instead of at 12 15 minutes but at an hour and a half, would you 13

find it surprising that after an hour and a half in 14

that hot car in Phoenix, they might have developed 15

16 pulmonary edema?

An hour and a half if there is central 17 nervous system depression and their heart is still 18 beating, I would expect there to be pulmonary 19 edema. But -- I'm sorry. I'm really not sure how 20 soon one might expect pulmonary edema to occur in a 21 22

hyperthermia case. I don't believe it would happen

right away, but I'm not sure how long it would take 23

24 to develop.

> You mentioned the central nervous system Q.

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- 1 depression. What role does the central nervous
- 2 system have in pulmonary edema?
  - Well, the drive to move your diaphragm,
- to put air in your lungs automatically, is
- controlled by your central nervous system. And if
- 6 you lose that drive to breathe for whatever reason,
- 7 for example, in narcotic intoxication where
- people's nervous system is so depressed they lose
- the automatic impulse to move their diaphragm. So 9
- when that's lost, there is a -- that's when 10
- pulmonary edema develops. 11
- 12 You had mentioned before lunch that on
- that spectrum in the hypothetical person in the 13
- 14 car, that at some point they would reach the point
- 15 where they could not control their airway and
- continue breathing. Would you expect, if they 16
- 17 reached that point, that pulmonary edema could set
- 18 ın?

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- Α. I'm sorry. If they're unconscious and --
- 20 Q. Well, that was a bad question. Let me
- 21 ask it this way: Do you remember me asking you
- 22 about the Glasgow Coma Scale that the EMTs, the
- 23 paramedics, observed in Ms. Neuman?
- 24 A. Yes.
- 25 Q. Correct me if I'm wrong, but I believe
  - you said that at that low of a Glasgow Coma Scale,
- 2 you would expect that a person might no longer be
- able to control their airway?
- 4 Α. Protect it.
  - Q. Or protect their airway.
- 6 Α. Reposition themselves to make sure that
- 7 their airway is open.
- 8 Q. And I think you said at that level they
- might also lose the ability to control their
- 10 bowels?

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- 11 Α. Yes.
- 12 Q. Would you expect, then, the central
- 13 nervous system depression you were talking about a
- moment ago -- would that be something that would 14
- set in around the Glasgow Coma Scale level as 15
- 16 reflected in the Guardian Air records?
- 17 Α. Yes.
- 18 Q. And if that pulmonary edema set in at
- that Glasgow Coma Scale level that's reflected in 19
- 20 the Guardian records, would you be surprised, then,
- to see some frothy sputum in the person's mouth? 21
- 22 Α. Not at all.
- 23 Q. Are there other things that can cause --
- 24 other than the low Glasgow Coma Scale or depressed
- central nervous system depression, are there other

- things that can cause the frothy sputum that you 1
- 2 refer to?

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- Well, a toxic -- inhalation of a toxic 3 Α.
- substance. Toxins can sometimes cause pulmonary
- edema. Other things that cause pulmonary edema are 6
- congestive heart failure.
  - What's congestive heart failure?
  - It's when the heart can't beat well Α.
- enough to move blood in and out of the lungs
- 10 efficiently. So there is a backup of blood moving
- 11 in -- through the lungs.
  - So the pressure in the vessels of the
- 13 lungs builds up, and fluid leaks into air spaces.
- It's probably not the best definition of congestive 14
- 15 heart failure.
- Give me a moment here. It has to do with 16
- the heart not beating well enough to move the blood 17
- around. And the blood sort of backs up. 18
- 19 Q. Is that something that would affect
- someone if they had, say, a sick heart, an 20
- unhealthy lifestyle, or a long life and their heart 21
- 22 is just starting to wear out?
- Well, yeah. Coronary artery disease is a 23
- cause of -- probably the most common cause of 24
- 25 congestive heart failure.

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- 1 Q. Are there any other causes, then, of this
- pulmonary edema that you would expect to see sort
- 3 of a frothy sputum?
  - I'm not sure at the moment. Α.
  - Doctor, I believe back in May of last Q.
- year you gave an interview to the defense and to
- 7 the state.

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- Do you remember that?
- 9 Α. Yes.
- And in that interview you mentioned 10
- something about differential diagnosis for the 11
- cause of death of Ms. Neuman? 12
  - Α. Yes.
- Can you tell us what a differential 14 Q.
- 15 diagnosis is.
- Well, it's the range of possibilities of 16
- why the person is dead, what the diagnosis is. You 17
- consider each possible diagnosis and try to discern 18
- 19 which one it is.
- 20 And do you recall what your -- did you
- have differential diagnoses for Ms. Neuman's death 21
- apart from the hyperthermia due to multisystem 22
- 23 organ failure?
- I'm pretty sure I did. But I'm not sure 24
- what I said at the moment about what the 25

differential was. I was wondering if there might 1 2 have been oxygen depletion from the sweat lodge.

So the people in there are consuming the oxygen and exhaling CO2. So -- and the differential would be a sort of suffocation of sorts from the lack of usable oxygen in the environment and the elevated level of CO2.

- Q. Doctor, are you familiar with symptoms that are typical of a person who has ingested a rat poison or mouse poison?
- A. Rat poison is typically a Coumadin, an 12 anticoagulant type of drug where the rat -- they don't clot very well, and they have a bleeding, death, basically.
  - Q. In this particular case you've told us about the DIC that was present in Ms. Neuman. Is there a way to distinguish between a person who is suffering from DIC and a person who has ingested a toxic amount of rat poison?
- 19 20 A. Well, there would be chemical testing. 21 You can test their blood to see if there is rat poison in their blood or look at their blood 22 23 chemistry, their clotting chemistry, to see if it 24 suggests some sort of Warfarinlike activity. I believe you can do it. I'm not sure right now how 25

1 bruising around Ms. Neuman's chest?

There is no indication of heavy bruising 2 anywhere.

4 Q. Is that something you would expect to see 5 in the Guardian Air record?

If there had been a Warfarin or Coumadin 6 7 type rat poison involved, I would expect to see bruisina.

9 Q. Do you know how much -- you said Warfarin. You told us a moment ago about Coumadin. 11 What does "Warfarin" mean?

A. It's another name for the same thing. 12 It's an anticoagulant that, I think, was developed 13 14 to kill rats.

Do you have an idea of how much of a rat 15 Q. poison, a Warfarin or Coumadın rat poison, a person would have to consume before they reached a state 17 similar to -- a mental status state similar to

19 Ms. Neuman?

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A. I don't know.

Have you ever had to perform an autopsy 21 Q. or determine a cause of death on a person who died from consuming rat poison? 23

> Α. No.

> > Do you know whether you could absorb

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that would be done.

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2 Q. Do you know whether that's something her -- Ms. Neuman's doctors looked at at Flagstaff

4 Medical Center?

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A. I don't know.

5 Q. If a person had consumed rat poison to 6 the point that they presented in the fashion that 7 Ms. Neuman did to the EMTs, to the paramedics, what 8 9 effect would you expect to see, for example, in 10 their chest region after 45 minutes of CPR? 11

Α. Bruising.

12 Q. And what sort of bruising would you 13 expect to see?

A. Well, if the rat poison has -- had a chance to interrupt her ability to stop bleeding, 15 then little injuries would cause bruising.

I'm not sure I'm understanding the question. What kind of bruising? 18

Q. In other words, would there be a slight amount of bruising or a heavy amount of bruising?

A. It would be an exaggerated amount of bruising, more so than you would expect to find normally.

24 Did you ever see anything in the Guardian Q. Air records that indicated that there is heavy

enough rat poison to even make you sick simply

through your skin?

possible.

3 I don't know if you could or not. I think it would be unlikely to absorb enough through 4 your skin alone to make you sick. I suppose it's

Q. Are you familiar or do you know the signs 7 and symptoms from a poisoning by an ant poison 8

9 called "AMDRO"?

A. I'm not familiar with that.

11 Have you ever in your career had to perform an autopsy or a determination in the cause of death from someone who was exposed to ant 13

14 poison?

I've never assigned the cause of death to 15 Α. 16 an ant poison.

Q. Doctor, turning back a moment to some of the testimony this morning, you testified that with 18 the passage of time, the body can cool once it's removed from a source of heat?

> Α. Yes.

And can that cooling of the body quicken 22 or be enhanced if the body is wet down in some way? 23

Absolutely.

Can you explain how that would work.

- A. Well, it's the transfer of heat from the body to the water to the air as it evaporates. So it would be predicted that if you douse someone with water who is too hot that they would cool off pretty rapidly.
- **Q.** When a warm body, very warm body, that's been exposed to a hot temperature is removed from that hot temperature and they're doused with cooler water, what sort of effects would you expect to see in their trunk area and their extremities of the body?
- I'm not sure. But I suppose they might Α. lose that red, purple appearance from having a lot of dilated vessels that are trying to get the heat out of the blood. But they might -- their normal color might return as they cool down.
- Q. You mentioned the red, purple appearance. 17 Is that the description you told us about this 18 19 morning?
- 20 A. I think is so. Yeah.

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- 21 Q. And if somebody was exposed to a great 22 deal of heat, I think you said this morning that you would expect to see -- at least in me you would 23 24 expect to see kind of a purplish hue to my skin. Would it be possible that I would have a red hue to
  - 122
  - my skin?
- 2 Α. Yes. 3 Q. This morning you used a term, and I 4 forgot to ask you what it meant, which was a "late
- finding." Can you tell us what a late finding 5 would be. 6
  - What I'm referring to is something that doesn't happen right away; but as time progresses or a disease process progresses, then a late finding might be multisystem organ failure or --
- 10 11 Q. Is multisystem organ failure a specific -- specific to heat stroke or 12
- hyperthermia? 13
  - It's not at all specific. Α.
- 14 And are the signs and symptoms that you 15 Q. discussed and listed on the chart there -- are 16 17 those specific to organophosphate poisoning? 18
  - Individually certainly not. It's the constellation of symptoms that suggests the diagnosis.
  - Q. And you mentioned earlier that your differential diagnosis that you discussed in that May interview was suffocation due to too much carbon dioxide?
- I don't know if I used the word 25

- "suffocation" at that time. But, essentially, 1 that's what I was getting at.
- 3 Q. In a patient who was exposed to a prolonged period of high heat in an environment where they were also exposed to carbon dioxide, would any of those findings that are on the chart 7 there be surprising to you?
- A. Well, the salivation is something that, 8 depending on what the -- depending on whether it's truly from stimulated salivary glands versus some 10 other source, that would be surprising. 11
- 12 Would a bystander who observed salivation -- is that something you can determine 13 by looking at a patient as to whether -- what the 14 cause of the salivation is or the frothy sputum? 15
  - Probably not.
- Q. Is that something that the --17
- Ms. Neuman's medical record from Flagstaff Medical 18
- Center explained one way or the other? 19
- Not that I recall. Lacrimation, tearing. 20
- Do you know whether there was any 21
- evidence in Ms. Neuman's medical records of 22
- 23 lacrimation?

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- I believe there was not. Α.
- Q. And so I guess my question is,
- specifically to the signs and symptoms that were
  - observed or documented -- and I know you testified
  - this morning you couldn't remember exactly if 3
  - salivation was in those. 4
  - Are there any of the others on that list 5
  - that would be surprising to you in a person who had
  - been in an extremely hot, enclosed environment with 7
  - quite a few other people breathing? 8
    - Α. No.
  - 10 Thank you, Doctor.
  - I don't believe I have any other 11
  - 12 auestions.

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- 13 THE COURT: Thank you, Mr. Hughes.
- 14 Ms. Do.
- MS. DO: Your Honor, do you want me to start, 15
- or do you want to take a break? 16
- THE COURT: If you can start for a few 17
- minutes. 18
- MS. DO: How far do you want me to go? 19
- THE COURT: Until about 3:00. 20
- **CROSS-EXAMINATION** 21
- BY MS. DO: 22
- Q. Good afternoon, Doctor. 23
- 24 Α. Good afternoon.
  - It's good to see you again. We have

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- 1 met -- I think we met about a year ago?
- 2 A. Yeah. About that.
- 3 Q. Okay. That was in May of 2010. Mr. Li
- and I came down to Phoenix and we interviewed you
- at the Yavapaı -- I'm sorry. I take that back. To
- 6 Prescott. We interviewed at the Yavapai County
- 7 Attorney's Office?
  - A. That's correct.
- **Q.** And present on that date was also
- 10 Mr. Hughes? Do you remember that?
- 11 A. Yes.
- 12 Q. And Detective Diskin, who is here in
- 13 court today?

- 14 A. Yes.
- 15 Q. Since we met in May of 2010, you and I
- 16 have had a couple of more opportunities to speak on
- 17 the phone; correct?
- 18 A. Correct.
- 19 Q. And always present with us has been
- 20 Mr. Hughes; correct?
- 21 A. Correct.
- 22 Q. And do you know whether or not those
- 23 phone conversations between you and me have been
- 24 tape-recorded?
- 25 A. They have been. Yes.
  - Q. All right. And I believe I emailed you
  - 2 your transcript for you to review before you
- 3 testified today?
- 4 A. Yes, you did.
- **Q.** With the few minutes that I have left, I
- 6 just want to review with you very quickly the
- 7 backgrounds and qualifications. And then we're
- 8 going to talk about some of your testimony under
- 9 direct.
- 10 All right?
- 11 A. Sure.
- 12 Q. You indicated that you are a medical
- 13 examiner, and you have been so since July of 1999?
- 14 A. That's correct.
- 15 Q. That would mean that you have been doing
- 16 this for about 12 years?
- 17 A. That's also correct.
- 18 Q. You did 9 of those years with the
- 19 Maricopa County Medical Examiners; correct?
- 20 A. Correct.

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- Q. And that would be down in Phoenix?
- 22 A. That's also correct.
- **Q.** During that time you were with the
- 24 Maricopa County Medical Examiner's Office, did you
- 25 work with a doctor by the name of Dr. Mark

1 Fischione?

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- A. Yes.
- 3 Q. And do you know whether or not
- 4 Dr. Fischione is on contract as a chief medical
- 5 examiner of Yavapai County?
- 6 A. I'm not sure about the details of that 7 relationship, but it sounds reasonable.
- 8 Q. All right. And you've had some dealings
- 9 with Dr. Fischione in connection to this case;
- 10 correct?

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- 11 A. Yes.
- 12 Q. Now, the last three years since July
- 13 of 2008, you've been a medical examiner with the
- 14 Coconino County Medical Examiners?
  - A. Correct.
- 16 Q. You are board certified in anatomic and
- 17 clinical pathology; correct?
  - A. I'm not board certified.
- 19 Q. You're not board certified?
- 20 A. That's correct.
- 21 Q. Any particular reason?
- 22 A. I'm bad at reading Pap smears. Yeah. So
- 23 to become board certified, you have to do a lot of
- 24 things that I never do, like read Pap smears or
- 25 know the particular molecular arrangements in
  - 128
  - esoteric tumors, that kind of thing. So and for
- 2 that reason I'm not board certified.
- 3 Q. That particular procedure is a
- 4 requirement to be board certified as a anatomic and
- 5 clinical pathologist?
- 6 A. As an anatomic pathologist. And you
- 7 can't be board certificate as a forensic
- 8 pathologist unless you're board certified as an
- 9 anatomic pathologist. I passed the exam for
- o diaconno panionografia a paniono di
- 10 forensic pathology, but I never passed the exam for11 anatomic pathology.
- 12 Q. Okay. So you took it but didn't pass?
- 13 A. That's correct.
- 14 Q. Okay. I have a few more questions about
- 15 your medical background. The jury has heard a
- 16 little bit of this before. You are a forensic
- 17 medical examiner; correct?
  - A. I call myself a "forensic pathologist."
- 19 Q. And some people say, medical examiner?
- 20 A. Yes.
- 21 Q. And that means you look at deceased
- 22 persons to determine cause and manner of death?
  - A. That's correct.
  - Q. That would mean you are not what we call
- 25 a "treating physician"; correct?

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## A. That's also correct.

Q. And by "treating physician", we mean a doctor, perhaps a family doctor, that you would go in to see as a live person; correct?

## A. Correct.

6 Q. Then that would mean that in your 11 years or 12 years as a medical examiner, you've not 7 actually treated live patients; correct? 8

### A. That's correct.

Q. Which would mean that you have not 10 actually seen a patient come in with a heat 11 illness, either hyperthermia or heat stroke; 12 13 correct?

## A. Correct.

Q. Would also mean that you've actually not 15 seen a live patient come in with a toxic poisoning 16 of any kind; correct? 17

### A. Correct.

**Q.** You would only see the patient after 19

### 20 death?

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### A. That's correct. 21

Q. You are aware of a branch in medicine 22 called "emergency medicine"? 23

A. Iam.

Q. And is it true or not true that heat

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illnesses, including heat stroke and hyperthermia, are contained within that branch of medicine, emergency medicine?

### A. They do deal with that area with 4 expertise. 5

Q. All right. Do you also know whether or not within emergency medicine doctors who are trained and educated in emergency medicine also treat patients, live patients, with toxidromes or poisoning?

## A. They do treat them for toxic reactions.

Q. Okay. So both of these kinds of 12 illnesses that we've been talking about today --13 heat illnesses, on the one hand, and poisoning, on 14 the other hand, are both things that doctors in 15 emergency medicine would deal with with respect to live patients; correct? 17

## A. Yes.

Q. Are you aware of whether or not there are pathologists like yourself or medical examiners who are not only board certified in pathology but is also board certified in emergency medicine?

Α. Yes. 23

And would you agree with me that if you 24 Q. had a medical examiner who was board certified in 1 emergency medicine and had the training, the

education, and experience of seeing live patients

with heat illnesses or poisoning would add extra

experience as a pathologist seeing patients

deceased after one or the other?

## A. Yes. I think that that is a wealth of 6 experience that would help them as a forensic pathologist.

Q. And, for example, like today I know 9 you've given us some testimony regarding organophosphates. And you certainly have learned about it in medical school; correct? 12

### A. Yes.

Q. I think you told Mr. Hughes that you 14 haven't actually treated because you don't see live patients, anyone with any kind of poisoning.

### Correct? 17

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### A. That's correct.

Q. And so when you're dealing with a 19 deceased person and the suspicion might be that the 20 person died of poisoning, you sort of have the 21 disadvantage of not having seen an actual live 22 patient experiencing those signs and symptoms; 23 24 correct?

### Α. Correct.

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Q. I heard you start to use a word a little 1 bit earlier, and then you stopped. I think you

were about to use a word called "pathophysiology."

Do you know that word?

## A. Yes.

**Q.** Could you tell the jury what 6 pathophysiology is.

### A. Well, it's a diseased physiology, where 8 there is an alteration in normal physiology in a diseased way. 10

Q. And is that a common term within the 11 medicine field? 12

## A. Yes.

Q. Okay. So if I understand what you just 14 explained, pathophysiology is a phrase that explains the processes within the body that results in the signs and symptoms of a disease that you 17 would see. Correct? 18

### A. Yes.

Q. And would you agree with me that a doctor 20 testifying to this jury about, for example, heat 21 stroke should know the pathophysiology of heat 22

23 illnesses?

#### Α. 24 Yes.

Meaning that if they're going to talk

- 1 about heat stroke, they should understand the
- Processes that take place within the body that
- 3 produces the signs and symptoms; correct?
  - A. Yes.
    - Q. We last spoke, you and I, on April 19,
- 6 2011, by telephone. Do you recall that date?
- A. Yes.
- 8 Q. And, again, Mr. Hughes was on the phone;
- 9 correct?
- 10 A. Yes.
- 11 Q. Do you recall Detective Diskin also being
- 12 on the phone?
- 13 A. I believe he was there.
- 14 Q. And that phone call, again, was
- 15 tape-recorded; correct?
- 16 A. I believe so.
- 17 Q. On that date, Dr. Mosley, you had
- 18 mentioned to me that you had thought about doing a
- 19 sweat lodge.
- 20 Do you recall that?
- 21 A. Yes
- 22 Q. And you explained to me that you had
- 23 thought about doing a sweat lodge so that you could
- 24 see for yourself from a firsthand perspective what
- 25 happens in that kind of situation.
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- Do you remember that?
- A. I do.
- 3 Q. May I ask you if you have done that since
- 4 we've spoken?

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- A. I have not
- 6 Q. Okay. And the reason you were
- 7 considering -- and this was a serious consideration
- 8 on your part; correct?
- 9 A. It was.
- 10 Q. And you were thinking I might do a sweat
- 11 lodge because you wanted the firsthand experience
- 12 of understanding what heat in the sweat lodge
- 13 environment might do to a body; correct?
- 14 A. Correct.
- 15 Q. And that was so you could determine what
- 16 signs and symptoms might occur from an exposure to
- 17 a sweat lodge environment; correct?
- 18 A. Yes.
- 19 Q. That was because not having that
- 20 experience -- and let me ask you this question:
- 21 Have you ever done an autopsy of a heat-related
- 22 death occurring in connection with a sweat lodge?
- 23 A. No.
- 24 Q. And so the jury understands, you actually
- 25 thought about doing a sweat lodge because you

- weren't really quite sure what exactly happens to a
- 2 body or a person exposed to a sweat lodge
- 3 environment specifically; correct?
- 4 A. Not exactly. I just wanted to see for
- 5 myself what I was considering. And the question
- 6 you asked me about your thought processes at some
- 7 point. So I was considering what I was telling you
- 8 was what my thoughts were.
- 9 And I have a friend in Flagstaff who has
- 10 a sweat lodge. And he weekly invites people to
- 11 participate in his sweat lodge. I've never engaged
- 12 in it. I've never taken advantage of the
- 13 opportunity. I thought that maybe I should. Not
- 14 so much because I don't know what happens when
- 15 someone develops heat stroke, but because I thought
- 16 that the firsthand experience of the sweat lodge
- 17 would be a unique experience and helpful from the
- 18 standpoint of understanding what happens exactly.
- **Q.** Okay. And we'll get into more details.
- 20 But when you said you wanted to try and explain to
- 21 me your thought processes on April 19 when we
- 22 talked, since the time you conducted the autopsy of
- 23 Ms. Neuman, your thought processes have kind of
- 24 evolved over time; correct?
- 25 A. They have.

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- Q. We'll get to that. But when we spoke on
- 2 the 19th, part of your thought process is you
- 3 wanted to determine for yourself by participating
- 4 in a sweat lodge what exactly would happen to a
- 5 body inside that environment; correct?
  - A. Correct.
- 7 Q. And you haven't done one; correct?
- 8 A. I have not.
- **9 Q.** I'm going to switch gears really quickly.
- 10 You told the jury, and the jury has heard from
- 11 another medical examiner, that you determine cause
- 12 and manner?
  - A. Correct.
- 14 Q. In manner you had described to the jury
- 15 as being something that is entirely dependent on
- 16 the history, the investigative history; correct?
- 17 A. Well, if I said, entirely dependent, I
- might have overstated it. It is a huge part,though, of establishing manner is what the
- 20 circumstances are, what the history is.
- 21 Q. Okay. Let me try and flesh that out a
- 22 little bit. If you had a gunshot case and the
- 23 person was shot in the back, you could tell from
- 24 that particular physical finding that the likely
- 25 manner is homicide; correct?

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- Well, there are factors that contribute 2 to it being a homicide versus a suicide that -- I mean, it may well be a suicide. I'm not sure I'm understanding your question.
  - Q. I understand. And I kind of sense that you're a little tired too. Let me try and ask that question again. Earlier I heard you tell
- Mr. Hughes, when you determine manner, it's not 8 something that is scientifically derived; correct? 9
- 10 Α. Correct.

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- 11 Q. Which means that you determine manner entirely on circumstantial evidence; correct? 12
- A. Not entirely. So it's circumstantial --13 14 it's the circumstances in correlation with the 15 findings. So if I have a story that someone shot themselves in the head but yet there is no evidence 16 17 that the gun was fired from close range, then I 18 have to reject the story. Because if someone shoots themselves in the head, of course, there are 19 other findings aside from a bullet wound. 20
- 21 So to say I entirely rely on the circumstances is not completely accurate. 22
- 23 Q. Okay. I -- let me make sure I have your 24 correct testimony, then. You said the manner was 25 dictated by the circumstances; correct?

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- A. I may have said that. Yeah. 1
- 2 Okav.

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- 3 A. If I did, I want to correct that. It's not always entirely dictated by the circumstances. 4
  - Q. Let me get to the point. In this particular case, you had told the jury that you initially considered the manner to be homicide.
    - Do you recall that?
- A. I do. 9
- 10 Q. And to be clear, as you sit here today, you have determined based on your entire
- 11 12
- investigation the manner is accident; correct?
- 13 Α. Correct.
- 14 Q. And I just wanted to spend a few minutes, two minutes, before the break with you on 15 explaining to the jury why you had initially 16 considered the manner to be homicide. All right? 17
  - Α.
- 19 Q. You had explained that homicide is something that you would determine as being death 20 at the hands of another; correct? 21
- Yes. 22 Α.
- 23 Q. And in this particular case, because, as 24 you have explained, doing an autopsy on Ms. Neuman
- 25 doesn't give you any specific findings; correct?

- 1 Not relevant to --Α.
- 2 Q. Manner.
  - The manner of death. Yes. Α.
- Okay. So you -- in this case would it be fair to say you were entirely dependent on the 5
- circumstances at the scene?
  - Α. Yes.
- And that would mean you would be entirely 8 Q.
- dependent on the circumstances reported to you
- either by your forensic investigator; correct? 10
  - Α. Yes.
  - Q. Or by Detective Diskin?
- 13 Α.
- And you had been shown an exhibit which 14 Q.
- was 886. Do you have that with you, Doctor? 15
- I'm not sure. I have 365. And looks 16 Α.
- like the sticker is falling off my autopsy report. 17
- Q. Okay. Let me just bring it up on the 18
- screen. And let me know if you can't see it. 19
- 20 Here it is. 886 is the report written
- for you by your forensic investigator, Regina 21
- 22 Sotelo?

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- 23 Α. Yes.
- And Ms. Sotelo, would have, as you've 24 Q.
- explained, written this report on October 19 even

- though it is reported out as October 29; correct? 1
  - Α. It is.
- Okay. Now, looking at -- and you said to 3
- the jury you relied on the circumstances given to
- you by Ms. Sotelo in this report; correct?
- Not entirely relied on it. And I do have 6 some questions about some of the things she wrote 7 8 in it.
- 9 Q. Because some of the facts you now know
- 10 are incorrect?
  - A. Yes.
- 12 Q. Okay.
- Your Honor, may we take the break? 13
- THE COURT: Yes. We'll take the afternoon 14
- 15 recess.
- 16 Relatively brief, please, ladies and
- gentlemen. Remember the admonition. Please be 17
- reassembled in about 10 minutes. We'll start as 18
- soon as we can after that. 19
  - Thank you.
    - Dr. Mosley, you're excused too.
- 22 (Recess.)
- THE COURT: The record will show the presence 23
- of Mr. Ray, the attorneys, the jury. Dr. Mosley 24
- 25 has returned to the stand.

Ms. Do, please continue.

MS. DO: Thank you, Your Honor.

Dr. Mosley, before that quick break, you were taking a look at Regina Sotelo, your investigator's report; correct?

> Α. Correct.

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7 As you told this jury, you, now learning 8 more about the facts, realize that there are a number of facts contained in that report that are 9 10 just not right; correct?

> Α. That's correct.

Q. 12 Let me ask you one question. You indicated initially you thought -- you believed, in 13 your personal opinion, that the manner was 14 15 homicide, which you define as death at the hands of

16 another; correct? 17 Α. Yes. 18 Q. Did you ever receive in Ms. Sotelo's 19 report, which provided you with the circumstances 20 at the scene, information that a witness who sat 21 next to Ms. Neuman in the sweat lodge ceremony had 22 conversations with her up to as late as the sixth 23 or seventh round of the sweat lodge? 24

Α. No.

> Q. And you understand that the sweat lodge

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ceremony consisted of eight rounds; correct? 1

A. Yes.

3 And you understand that those eight rounds lasted anywhere between 10 or 15 minutes; 4

5 correct?

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I'm not sure what the documented interval 6 Α. 7 was. But I will assume you're correct.

8 Q. Okay. If there has been testimony to this jury in that regard, you would defer to it; 9 correct?

10 11 A.

And in between each of those rounds, you 12 Q. understand that the door was then opened and people 13 were free to leave; correct? 14

15 A. Yes.

Yes.

16 Now, in Ms. Sotelo's report or anywhere, 17 did anyone ever tell you that there was a witness

who sat next to Ms. Neuman who had conversations 18

19 with her up to as late as the sixth or seventh

20 round?

21

A. I don't believe so.

22 Did anyone tell you that the

circumstances at the scene include this person 23

24 asking Ms. Neuman as late as the sixth or seventh

25 round, are you okay?

I don't recall being told that. And I 2 don't recall reading that.

3 Okay. Do you remember or know if you've seen anywhere in the materials you relied on to get 4 the circumstances at the scene that Ms. Neuman then 6 responded to this witness, whose name is Laura 7 Tucker, I'm okay?

I'm sorry. Was the question do I 8 Α. remember reading that or --9

10 At any time during your investigation, even up to today's date, have you learned that a 11 witness named Laura Tucker spoke to Ms. Neuman 12 wherein she said or asked, are you okay, and 13

Ms. Neuman replied, I'm okay? 14

I don't recall reading that. But it 15 might be a detail that I ignored. 16

17 Q. Okay. Do you know whether or not 18 anywhere in your investigation, including up to 19 today's date, you read anywhere or saw anywhere that this witness then asked Ms. Neuman, do you 20 21 need to go out?

> Α. I don't recall that.

Do you remember seeing anywhere in your 23 Q. investigation that Ms. Neuman then responded, no? 24

I don't recall that either.

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1 Q. Okay. And so you wouldn't know whether or not this conversation took place up to as late as the sixth or seventh round of the sweat lodge 3 4 ceremony; correct?

> A. That's correct.

Now, those are important facts that would 6 have influenced your personal opinion about the 7 8 manner; correct?

At least in my thought processes it would 9 Α. have. But ultimately it probably wouldn't have 10 changed anything as far as ruling it to be an 11 12 accident.

13 Q. Correct. I'm more trying to explain for the jury, and then we're going to move on, why was 14 it you initially thought it was a homicide. You 15 initially thought it was a homicide because the 16

circumstances reported to you suggested death at 17

the hands of another; correct? 18

> A. Right.

Q. Go ahead.

From what I was -- from what was reported 21

to me, that she didn't have a choice really about 22

whether or not she was leaving, that she was 23

encouraged to stay in there. And I didn't get the 24 sense that there her will was in effect or that she

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### 1 actively could say, I want to leave now.

- Q. Okay. So you had initially thought themanner to be homicide because you believed the
- circumstances indicated she was not free to leave;
- is that correct?

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- A. Yes.
- 7 Q. And, as you sit here today, you're
- 8 telling the jury that that is incorrect --
- 9 right? -- as far as you know?
- 10 A. Right. I suppose it depends on when. I
- 11 mean, if she's unconscious -- and I'm not sure
- 12 whether this happens. But presuming that sometime
- 13 after the seventh round, well, clearly there can be
- 14 no volition to leave.
- 15 But that's not what was communicated to
- 16 me at the time early on when I was thinking about
- 17 this. I didn't get the impression that between
- 18 every round that people were -- had the opportunity
- 19 to leave, that everybody had the opportunity to
- 20 leave.
- 21 Q. So you now know that everyone was free to
- 22 leave; correct?
- 23 A. Yes.
- 24 Q. And you also understand now, perhaps with
- 25 the additional information I provided to you about
- this conversation that Ms. Neuman had with
   Ms. Tucker, as late as the sixth or seventh round
- 3 that Ms. Neuman did exercise some volition before
- 4 at whatever time it was that she lost
- 5 consciousness; correct?
- 6 A. Yes.
- Q. And so when you changed your mind and
- 8 went from homicide to accident in December 14,
- 9 2009, when you had that meeting with Dr. Lyon and
- 10 others, you did that because it was the right call;
- 11 correct?
- 12 A. Yes.
- 13 Q. Now, I'd like to move on and now talk to
- 14 you about your investigation into the cause of
- 15 death. Okay?
- 16 A. Okay.
- 17 Q. You had just told this jury before we
- 18 took the break that your thought processes since
- 19 you conducted the autopsy to today's date has
- 20 evolved; correct?
  - A. Yes.
- 22 Q. So I want to talk to you about that and
- 23 give the jury some guide posts to understand your
- 24 thought processes and then your ultimate opinion
- **25** and conclusion today.

- All right?
- 2 A. Okay.
- Q. And I take it when you're looking down,
- 4 you're writing notes?
  - A. Yes.
- 6 Q. Okay. You're not playing with your iPad?
- 7 A. No. I promise.
  - Q. I think you did that with Mr. Li, and it
- 9 hurt his feelings.
- 10 A. Sorry.
  - Q. That's okay. October 8, 2009, is when
- 12 this accident occurs; correct?
- 13 A. Yes.
- 14 Q. And on that night Ms. Neuman is air
- 15 evaced out of Angel Valley to Flagstaff Medical
- 16 Center; correct?
  - A. Correct.
    - Q. And on that evening your office, Coconino
- 19 County Medical Examiner's, received notification
- 20 because -- Dr. Czarnecki?
- 21 A. Czarnecki.
- 22 Q. Czarnecki responded to the scene with
- 23 some investigators; correct?
  - A. Yes.
- 25 Q. And that, I would assume, was because
- 148
- 1 there were a number of people, including
- 2 Ms. Neuman, who was air evaced to Flagstaff Medical
- 3 Center?
- 4 A. That's also correct.
- 5 Q. And then that event of these people going
- 6 to Flagstaff Medical Center triggered your
- 7 jurisdiction in Coconino County; correct?
  - A. Correct.
- 9 Q. Ms. Neuman stayed at the hospital for
- 10 nine days in the intensive care unit; correct?
- 11 A. Correct.
- 12 Q. And she passed on October 17, 2009?
- 13 A. Yes
- 14 Q. October 19, two days later, you conduct
- 15 the autopsy; correct?
- 16 A. Correct.
- 17 Q. Now, I understand you told Mr. Hughes
  - that you had some preliminary thoughts about the
- 19 cause. But on that date you had not reached any
- 20 kind of final conclusion; correct?
- 21 A. Correct.
- 22 Q. I'm going to ask you, Dr. Mosley -- you
- 23 indicated this is a mnemonic; correct?
  - A. Yes.
  - Q. It helps folks remember what the signs

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- 1 and symptoms are for a cholinergic toxidrome?
- A. Yes.
- **Q.** Okay. I want to ask you one question.
- You wrote here that "D" is for defecation. It's
- 5 actually diaphoresis; correct?
- 6 A. Diarrhea the diaphoresis there is
- 7 another mnemonic that has diaphoresis, which is
- 8 sweating. Diaphoresis is sweating. So yeah.
- 9 That's also part of the toxidrome.
- 10 Q. Okay. I just want to clear this up
- 11 before I flip it. Thank you.
- 12 So diaphoresis for the "D." Defecation
- 13 would actually come under "G" for
- 14 gastrointestinal --
- 15 A. Hypermotility.
- 16 Q. You might want to spell that for Mina.
- 17 A. Yeah. Well, it's an imperfect mnemonic,
- 18 but -- you know -- you're right. Diaphoresis would
- 19 be a better use for the "D" than defecation. It's
- 20 somewhat redundant with gastrointestinal
- 21 hypermotility.
- 22 Q. Okay. And diaphoresis is excessive
- 23 sweating; correct?
- 24 A. Yes.
- 25 Q. Okay. So on October 8, '09, you have the
  - I accident. And then Ms. Neuman is at Flagstaff
- 2 Medical Center; correct?
- 3 A. Correct.
- **Q.** Then on October 17 Liz Neuman passes;
- 5 correct?
- 6 A. Correct.
- 7 Q. Two days later, October 19, you conduct
- 8 the autopsy; correct?
- 9 A. Correct.
- 10 Q. No conclusion on that date; correct?
- 11 A. Correct.
- 12 Q. Now, when you conducted the autopsy of
- 13 Ms. Neuman on that date, you had some of the
- 14 circumstances from the scene provided to you
- 15 aiready; correct?
- 16 A. That's correct.
- 17 Q. For example, the number of folks who were
- 18 taken to the hospital?
- 19 A. Taken to the hospital -- I don't know if
- 20 I knew what that number was. I assumed it had been
- 21 a substantial proportion. As far as the number
- 22 goes, I'm not sure if I had that -- the number of
- 23 folks.
- 24 So, I'm sorry. I do have that. I did
- 25 have that. 21 became ill and sent to local

- 1 hospital. That's what I was told.
- Q. Okay. That's Ms. Sotelo reporting;
- 3 correct?
- 4 A. Correct
- 5 Q. And you wouldn't have any -- well, if the
- 6 jury has heard otherwise, that it was some number
- 7 less than 21, you would defer to the testimony
- 8 they've received; correct?
- 9 A. Yes.
- 10 Q. Now, on October 19, 2009, you knew there
- 11 were two people that had passed, essentially, at
- 12 the scene and certainly upon arrival to the
- 13 hospital?
- 14 A. Correct.
- 15 Q. That would be Kirby Brown and James
- 16 Shore?

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- 17 A. Yes.
  - Q. When you did your autopsy on October 19,
- 19 2009, your autopsy is what you would call a
- 20 "negative autopsy"; correct?
  - A. It was -- well, let me say it this way:
- 22 It did not provide me with any findings that would
- 23 confirm a diagnosis of heat stroke or hyperthermia.
- Q. And that is because when you look at the
- 25 anatomy of the body in an autopsy, there is nothing
- 152
- 1 there physically that is going to be a tell-tail
- 2 sign of heat stroke; correct?
- 3 A. That's correct.
- **Q.** Or a tell-tail sign of hyperthermia;
- 5 correct?

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- 6 A. Correct.
- 7 Q. And that's what you mean when you say
- 8 that there are no anatomical findings that are
- 9 specific for heat stroke or hyperthermia; correct?
- 10 A. Correct.
  - Q. But there are labs that you can run --
- 12 correct? -- to determine whether or not there is
- 13 evidence of heat stroke or hyperthermia?
- 14 A. The labs that I would run would be to see
- 15 if there was dehydration, which usually goes along
- 16 with heat stroke.
- 17 Q. Correct. And that lab is what we call a
- 18 "vitreous test"; correct?
  - A. Right. Vitreous electrolytes.
- 20 Q. And I think you described it earlier as
- 21 taking fluid from the eyeballs?
  - A. Yes.
- 23 Q. You would agree with me that the vitreous
- 24 test for dehydration at the time of autopsy is
  - considered the gold standard; correct?

A. Well, that's the best we can do at 2 autopsy is -- a better standard would be the electrolytes drawn from the blood at the hospital at the time of death or at the time of presentation, as close to the acute illness as possible.

Q. Okay. And we'll get to that. But just generally, to determine whether or not somebody has died of heat stroke or hyperthermia, at the time of autopsy you would conduct a vitreous fluid test; correct?

12 A. Yes.

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13 Q. And if the patient survives some days and was in the hospital, you would look at the 14 15 chemistry for electrolyte disturbances; correct?

A. That's correct.

17 Q. All right. So on October 19, 2009, there was nothing in your autopsy other than excluding 18 other possible causes of death that helped you get 19 to a conclusion one way or the other; correct? 20

A. Correct.

22 Q. Now, you then had about four months to February -- I'm sorry. Yeah. February 2nd, 2010, 23 24 when you wrote your report; correct?

A. When I finalized the report. It was

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probably, essentially, written sometime before that. But my signature doesn't appear until 2 3 February 2.

4 Q. Okay. So you -- if I understand it correctly, you drafted some of the technical 5 language we see in Exhibit 362, your autopsy 6 report? 7

8 Α. Yes.

9 Q. You didn't finalize and sign and issue 10 the cause of death until February 2nd, 2010?

A. Yes.

12 Q. And that would be some four months after the autopsy; correct? 13

14 A. Yes.

Q. Your report -- you concluded in your 15 report that the cause of death of Ms. Neuman was 16 17 multisystem organ failure due to hyperthermia due to sweat lodge exposure; correct? 18

Yes. 19 Α.

Q. And let me talk to you a little bit about 20 your report. Do you have it in front of you, 21 22 Doctor?

23 Α. Yes.

24 Q. We're going to take a look at

25 Exhibit 362. And I'm going to focus in on the last

page, Doctor, where you have your final summary and 2 opinion.

> Α. Okay.

Q. You start your summary and opinion with 4 this phase: Based on the autopsy findings and investigative history as available to me, it is my opinion that Lizbeth Marie Neuman died as a result of multisystem organ failure due to hyperthermia due to prolonged sweat lodge exposure. Correct? 9

Α. Yes.

10 11 Q. Now, that phrase, based on the autopsy findings and investigative history as available to 12 me, indicates that you were relying on circumstances outside of the autopsy; correct? 14 15 Α. Correct.

Q. Circumstances off of the autopsy table? 16

17 A. That's correct.

18 Q. Could you explain to the jury what 19 multisystem organ failure is.

Essentially, it's the death of multiple 20 organs for -- the proximate cause of that is 21 variable. When there is a lack of perfusion to organs, they die. And if you include the -- that 23 includes the intestines. 24

And sometimes when those walls break

down -- the intestinal wall -- bacteria will leak

into blood from the -- through the intestines. But the end result is multiple organs fail to survive. 3

Q. All right. And that's typically what you 4

described as a late stage finding? 5

6 A. Yes.

Q. So multisystem organ failure is not 7 something that is specific to heat stroke; correct?

That's correct. Α.

Q. It's not something that is specific to 10

hyperthermia; correct? 11

> Hyperthermia. Correct. Α.

Q. Okay. It does occur, as you told this 13

jury earlier, in a lot of conditions? 14

> Α. Yes.

Q. The other findings that you made on your 16 report -- jaundice and anasarca? 17

Yes. Α.

Again, jaundice indicates liver failure? 19 Q.

20 Α.

> Not at all specific to heat stroke? Q.

22 Α. Correct.

Q. Not at all specific to hyperthermia; 23

24 correct?

Correct. 25

- 1 Q. It can occur in a number of other
- 2 conditions?

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- A. Yes.
- **Q.** The anasarca is the accumulation of body
- fluids; correct?

A. Right.

- **Q.** And, as you told this jury earlier,
- 8 that's an indication of respiratory failure?
- 9 A. I'm sorry. It's more than that. It's
- 10 also kidney failure. It's not handling the fluids
- 11 in your body, in your blood, very well to the point
- 12 where they -- well, they back up into your tissues.
- 13 So it's more than respiratory failure. I
- 14 think it speaks more to renal failure than
- 15 respiratory failure.
- 16 Q. Again, not at all specific to heat stroke
- 17 or hyperthermia; correct?
- 18 A. Yes.
- 19 Q. Your conclusion that we see up there,
- 20 Dr. Mosley -- you had indicated before it is a
- 21 conclusion you call "circumstantial"?
- 22 A. Yes.
- 23 Q. And by "circumstantial," can you explain
- 24 that to the jury.
- 25 A. It's based on the circumstances. So if I
  - have a reliable history as to what the
- 2 circumstances of death were, then I draw a
- 3 conclusion based on -- based on that history.
- 4 Q. So there are some cases where you can
- 5 reach a cause of death based upon noncircumstantial
- 6 evidence; correct?
- 7 A. Yes.
- **Q.** Meaning perhaps findings in your autopsy;
- 9 correct?
- 10 A. Yes.
- 11 Q. Physical anatomical findings; correct?
- 12 A. Correct.
- 13 Q. Or an overt indication of the wound, for
- 14 example, a gunshot wound; correct?
- 15 A. Correct.
- 16 Q. But in this case, what you mean to tell
- 17 the jury is that your conclusion that we see up
- 18 there, that it's multisystem organ failure due to
- 19 hyperthermia due to sweat lodge exposure, is based
- 20 upon the circumstances reported to you about what
- 21 happened at the scene; correct?
- 22 A. Correct.

25

- 23 Q. I wrote up on the easel a number,
- 24 99.8752 percent. Do you recognize that number?
  - A. I do. It's one of my favorite numbers.

- Q. And could you tell the jury what that
- 2 number is.

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- 3 A. I think it's in response to a question
- 4 about what percent probability am I sure. And not
- really having an analytical way of expressing my
- 6 own certainty, that's the number that I somewhat
- 7 facetiously came up with. But the overall
- 8 impression was that most of what I have to say is
- 9 based on the circumstances.
  - Q. As opposed to any medical facts; correct?
- 11 A. Well, the medical facts are part of
- 12 the -- well, if the medical facts were different or
- 13 opposed to the conclusion I drew from the
- 14 circumstances, then I -- well, sorry.
- 15 Q. That's okay. Let me try and see if I
- 16 understand you correctly. You're telling this jury
- 17 that your conclusion of hyperthermia due to sweat
- 18 lodge exposure is 99.8752 percent based on
- 19 circumstances at the scene; correct?
- 20 A. That's -- yes.
  - Q. And that number, then, is 100 percent
- 22 dependent on, for example, witness statements;
- 23 correct?

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- 24 A. Yes.
- 25 Q. And that number up there would be

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- 1 100 percent dependent on what the detectives also
- 2 tell you about the scene; correct?
  - A. Yes.
- Q. So if I understand that number correctly,
- 5 then, that's about how much of your conclusion
- 6 could be based upon medical facts that you gleaned
- 7 from Ms. Neuman's records. Correct?
- 8 A. Well, I think your math is accurate. But
- 9 the number is totally facetious.
- 10 Q. Okay. And when you say, "facetious,"
- 11 what do you mean?
- 12 A. I mean that I don't have a way of
- 13 quantitating my certainty to a percentage, as I was
- 14 asked to do in the interview. But what I was --
- 15 the impression I was trying to give was most of
- 16 what -- that my conclusions are mostly based on the
- 17 investigation and the circumstances. That number
- 17 investigation and the circumstances. That number
- 18 is ridiculous.
- 19 Q. I understand that. But just so the jury
- 20 knows, that's the number you gave me; correct?
  - A. That's correct.
- 22 Q. I didn't provide you with that number?
- 23 A. No.
  - Q. I asked you how much of your conclusion
- 25 was based upon the circumstantial evidence reported

21

- to you; correct?
- 2 A. Yes.
- 3 Q. And in response to that question, you
- told me with Mr. Hughes present that it was
- **5** 99.8752?

- A. Correct.
- 7 Q. Now, are you aware -- you had some
- 8 dealings with Dr. Lyon in this case; correct?
- 9 A. Yes.
- 10 Q. And Dr. Lyon did the autopsy of James
- 11 Shore and Kirby Brown; correct?
- 12 A. Yes.
- 13 Q. Are you aware that Dr. Lyon also stated
- 14 that his conclusion of heat stroke was 90 to
- 15 95 percent based upon the circumstantial evidence?
- 16 A. I'm not aware of that.
- 17 Q. Okay. So I understand you're now telling
- 18 us that this number is facetious. But it's pretty
- 19 accurate in conveying to the jury that your
- 20 conclusion is significantly dependent on the
- 21 circumstances; correct?
- 22 A. I'd even say substantially dependent on
- 23 the circumstances.
- **Q.** Okay. So even more than what I'm
- 25 expressing?

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162

- 1 A. Yeah.
  - Q. All right. Today -- and I might have to
- 3 flip this chart. I want to talk about what your
- 4 opinion is today. Since you conducted your autopsy
- 5 and issued your report on February 2nd, and in the
- 6 recent months the state has asked you some
- 7 additional questions regarding cause of death in
- 8 this case; correct?
- 9 A. Yes.
- 10 Q. The state in recent months had provided
- 11 you with additional information; correct?
- 12 A. They have.
- 13 Q. And when I say "recent months," that
- 14 would include while this trial has been in progress
- 15 with this jury; correct?
- 16 A. Yes.
- 17 Q. And do you know whether or not the state
- 18 asked you these additional questions, provided you
- 19 with the additional information, because it was
- 20 prompted by the defense review of the state's
- 21 evidence in this case?
- 22 A. I believe it was prompted by the
- 23 defense's review of the state's evidence in this
- 24 case.
- 25 Q. Okay. Defense review of the state's

- 1 evidence; correct?
- 2 A. Correct.
- 3 Q. Not new evidence produced by the defense;
- 4 correct?
- 5 A. Correct.
- 6 Q. Now, that -- those additional questions
- 7 that the state asked you in these recent months --
- those questions have prompted you to reevaluate
- 9 your death investigation; correct?
- 10 A. Yes.
- 11 Q. And those questions have prompted you to
- reconsider your conclusion in this case; correct?
- 13 A. They have.
- 14 Q. They've asked those questions of you, and
- 15 those questions have prompted, in your mind, a
- 16 question of whether or not this was truly a heat
- 17 stroke or truly a hyperthermia case; correct?
  - A. I would say it a little bit differently.
- 19 It's caused me to question whether it's exclusively
- 20 a hyperthermia or heat-related death. I think that
- 21 there are other contributing factors in this death
- 22 that are unrelated to heat. Well, yes. Unrelated
- 23 to the heat.

18

- Q. Okay. So that conclusion that the jury
- 25 is looking at, the conclusion you reached on
- 164
- 1 February 2nd, 2010, has changed today, as you sit
- 2 here; correct?
- 3 A. Yes.
- 4 Q. And your conclusion today, what you're
- 5 telling this jury, is that based upon your review
- 6 of this additional information, the additional
- 7 questions asked of you by the state, leads you to
- 8 believe that this was not just a case of
- 9 hyperthermia; correct?
- 10 A. Yes.
- 11 Q. That this is not just a case of heat;
- 12 correct?
- 13 A. Correct.
- 14 Q. In fact, you've expressed doubts, have
- 15 you not?
- 16 A. I have.
- 17 Q. And this was the conversation that you
- 18 and I had on the phone with Mr. Hughes present over
- 19 the course of April 18 and April 19?
- 20 A. Yes.
  - Q. And what you told me and Mr. Hughes is
- 22 that you have doubts about what else is at play in
- 23 this case?
- 24 A. Yes.
- 25 Q. Meaning you have doubts about what else

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- 1 caused the death of Ms. Neuman; correct?
- 2 Α. Yes.
- 3 Q. So today, as you sit here today -- well, that was a little redundant. 4

As you sit here today, you do know from your review of additional information that there 6

- 7 are signs and symptoms that are inconsistent or
- 8 atypical of heat stroke and hyperthermia; correct?
- 9 Α.
- 10 Q. As you sit here today, you're telling the
- 11 jury that based upon your review of the medical
- 12 records, the additional information, that the signs
- 13 and symptoms presented by Ms. Neuman on October 8,
- 14 2009, are consistent with a toxicity; correct?
- 15 MR. HUGHES: Objection to foundation as to 16 what additional information.
- 17 THE COURT: Dr. Mosley, if you can answer that
- 18 you can, you may.
- 19 THE WITNESS: I'm sorry. Could you repeat the
- 20 question?

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- 21 Q. BY MS. DO: Sure. What I want to do is
- 22 establish first the guide posts of your conclusions
- 23 today, and we're going to go back and fill in the
- 24 details for the jury. Okay?
- 25 Α. Sure.

- 166
- 1 Q. As you sit here today, based upon these
- additional questions asked by the state in recent 3 months and the additional information that you
- 4 reviewed, you do know that the signs and symptoms
- presented by Ms. Neuman at Flagstaff Medical Center
- are consistent with a toxicity; correct? 6
- 7 MR. HUGHES: Objection to foundation as to the
- 8 additional information.
- 9 THE COURT: Again, if you can answer that,
- 10 Doctor, you may.
- 11 THE WITNESS: Yes.
- 12 Q. BY MS. DO: Your answer is yes; correct?
- 13 Α. Yes.
- 14 Q. Consistent with a toxicity?
- A. Yes. 15
- 16 And specifically, Doctor, you looked at
- 17 whether or not the signs and symptoms presented by
- Ms. Neuman at the hospital were consistent with 18
- 19 organophosphate toxicity; correct?
- 20 Α. Yes.

21

- Q. And your conclusion as to that was?
- 22 A. That I could not exclude organophosphate
- 23 toxicity as a contributing factor.
- 24 Based on your review of the medical
- records; correct?

- Correct. Α.
- 2 Q. And when I write "OP," I mean
- organophosphates. Now, we're going to talk a
- little bit more about what organophosphates are.
- You do understand that organophosphates are
- commonly referred to as "pesticides" or 6
- 7 "insecticides"; correct?
  - Α. Yes.
- It's a compound found in pesticides or 9 Q.
- 10 insecticides?
  - Α. Yes.
- 12 Q. When you say you cannot exclude
- organophosphates, is that because the signs and 13
- symptoms you looked at in Ms. Neuman's records are 14
- consistent with organophosphate toxicity? 15
- 16 Α.
- And you told me all of this on April 18 17 Q.
- and April 19 when Mr. Hughes was on the phone; 18
- 19 correct?
- 20 Α. Yes.
- 21 Q. Now, you know that Dr. Cutshall,
- Dr. Brian Cutshall, is the ER doctor, or the ICU 22
- doctor, rather, who treated Ms. Neuman while she 23
- 24 was at Flagstaff; correct?
- 25 Α. Correct.

- 168
- Q. And you know that because you reviewed 1
- the medical records again?
- 3 A. Yes.
- If Dr. Bret -- Brent, actually, Cutshall 4
- testified to this jury on March 29, 2011, that he
- could not exclude organophosphates based upon what
- he saw in Ms. Neuman, your testimony now would be
- consistent with that; correct? 8
  - Α. Yes.

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- Dr. Lyon, who you know conducted the 10
- autopsies of Ms. Brown and Mr. Shore -- if he were 11
- to testify to this jury on March 31st, 2011, that 12
- he could not exclude organophosphates, your opinion 13
- today is consistent with that; correct? 14
  - Α. It is.
- Q. I want to talk to you about what prompted 16
- this reevaluation of your conclusion. 17
  - The organophosphate hypothesis that was
- floated. So I had to look at it all anew and see, 19
- well, what is and isn't consistent with 20
- 21 organophosphate toxicity.
- 22 And when you say "hypothesis," you're
- talking about specifically the conclusions rendered 23
- by Dr. Ian Paul, a medical examiner retained by the 24
- defense in this case; correct? 25

- 1 A. I believe so. I don't know if I have the
- transcript of when he said organophosphates, but
- I'm told that's what he said.
- **Q.** All right. We're going to cover that.
- 5 But I just want the jury to understand what exactly
- 6 prompted your reevaluation of the facts and your
- 7 conclusion in this case.
- 8 A. Yes.
- 9 Q. It originated with the defense expert,
- 10 Dr. Ian Paul; correct?
- 11 A. It did.
- 12 Q. Now, if I understand correctly, the state
- 13 provided you a copy of Dr. Paul's report in this
- 14 case? Correct?
- 15 A. It did. The state did.
- 16 Q. Go ahead.
- 17 A. I'm sorry. I was just checking my
- 18 sentence. I meant to say the state did.
- 19 Q. The prosecutors did; correct?
- 20 A. Yes.
- 21 Q. And they provided you that report shortly
- 22 after the defense provided it to them; correct?
- 23 A. Correct.
  - Q. So it would be approximately near the
- 25 date that the report was written; correct? If you
  - 170

1 know.

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- 2 MR. HUGHES: Object to foundation.
- 3 THE COURT: If you have knowledge of that,
- 4 Doctor.
- 5 THE WITNESS: I don't remember what date the
- 6 report was written, and I'm not sure when it was
- 7 provided to me. So for me to confirm would be --
- 8 I'm not --
- 9 Q. BY MS. DO: We don't want you to
- 10 speculate. That's okay. You believe you got the
- 11 report shortly after it was disclosed though;
- 12 correct?
- 13 A. Yes.
- 14 Q. Dr. Mosley, I'm going to show you what's
- 15 been marked for identification as Exhibit 1000.
- 16 You recognize the name at the top of this report?
- 17 A. I do.
- **18 Q.** Dr. Ian D. Paul?
- 19 A. Yes.
- **Q.** The date of January 10, 2011?
- 21 A. Yes.
- 22 Q. And is that the date of the report that
- 23 was provided to you?
- 24 A. Yes.
- Q. That began your reevaluation of the case;

1 correct?

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- A. It did.
- 3 Q. Now, you reviewed that report after
- 4 receiving it; correct?
  - A. Yes.
  - Q. And after you received that report, you
- 7 did become aware that the prosecutor, Ms. Polk and
- 8 Mr. Hughes, interviewed Dr. Paul on or about
- 9 January 31, 2011; correct?
- 10 A. Yes.
- 11 Q. And shortly after that interview, if not
- 12 that same day, you received a phone call from
- 13 Detective Diskin; correct?
  - A. Yes.
- 15 Q. And in that phone call Detective Diskin
- 16 told you that Dr. Paul believes that the medical
- 17 findings in this case are inconsistent with heat
- 18 stroke; correct?
- 19 Let me rephrase that.
- 20 MR. HUGHES: Objection. Let the witness
- 21 answer.

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- 22 MS. DO: Certainly.
- 23 Q. I thought you were having trouble, so I
- 24 could rephrase it.
  - A. Inconsistent with heat stroke, I'm not
    - 172
  - sure. Well, let me answer this way: He did point
- 2 out that there are findings that are inconsistent
- 3 with heat stroke.
- **Q.** When you say he pointed out, you mean
- 5 Dr. Paul?
- 6 A. Yes.
- 7 Q. All right. And you knew that; correct?
- 8 A. Yes
- 9 Q. You also knew from this phone call that
- 10 Detective Diskin made to you after the state
- 11 interviewed Dr. Paul that Dr. Paul believed this
- 12 case to be one in which people were poisoned;
- 12 case to be one in which people were personed
- 13 correct?

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- 14 A. Yes.
  - Q. A toxicity of some kind; correct?
- 16 A. Yes.
- 17 Q. And that his No. 1 suspect, given the
- 18 signs and symptoms, was organophosphates; correct?
- 19 A. Correct.
- 20 Q. And it is Dr. Paul's conclusions as --
- 21 Dr. Mosley? Dr. Paul's conclusion, as you read in
- 22 his report and as also supplemented by
- 23 Detective Diskin's phone call, that prompted you to
- 24 reevaluate the facts in this case; correct?
  - A. Correct.

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1 **Q.** That caused you to reconsider your 2 conclusion?

A. That's true.

Q. When you originally got Dr. Paul's report and that phone call from Detective Diskin --

A. Yes.

7 Q. -- you actually believed he was wrong;

8 correct?

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A. I do believe he's wrong.

Q. You do believe? 10

A. Yes. 11

12 Q. Okay. Let me ask you this question: Did 13 you tell me on April 19, 2011, that you initially 14 thought that Dr. Paul was wrong?

A. I did. 15

16 Q. Did you tell me on April 19, 2011, that you upon reflecting it believed that you were, 17 quote, unquote, overly dismissive of Dr. Paul? 18

A. Yes.

20 Q. Did you tell me on April 19, 2011, in this recorded conversation that you now believed 21 that Dr. Paul could be right? 22

23 A. I did.

Q. And those were your words; correct?

It's consistent with something I would

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# say. I believe you're correct. Those are my words.

3 Q. And if there is any dispute, we have the 4 audio. I don't want to put words in your mouth. On that day, April 19, just a few weeks ago, you 5 told me you initially thought Dr. Paul was wrong; 6

7 correct?

A. Yes.

Q. But that now, having a chance to review or rereview the medical records of Ms. Neuman, you believe you were overly dismissive; correct?

A. That's correct.

13 Q. And that you now, today -- or let me stay with the 19th. On April 19th believed that 14 15

Dr. Paul could be right?

# A. On April 19 it was my belief that Dr. Paul was correct or could be right.

17 18 **Q.** Before you reached that opinion on April 19, you told the prosecutors in an email to 19 them on March 3rd, 2011, that you believed the 20 organophosphate argument can be dismantled on the 21 22 basis of the clinical data collected on the 23 participants of the sweat lodge ceremony; that is to say, you believe their findings are inconsistent 24

with organophosphate toxicity; correct?

A. Yes.

Q. And on April 19, when you told me in this 2 recorded conversation that Dr. Paul could be right about his conclusions, you also told me that you 4 take back that statement in its entirety; correct?

Not -- maybe not in its entirety. But I 6 think the part about it could be dismantled on the 7 basis of clinical findings because -- well, having 8 not reviewed the medical records of every 9 participant, those that I have reviewed, not all of 10 them, most of them, do not show evidence of 11 12 organophosphate toxicity.

Q. I'm talking about Liz Neuman. You on the 13 date of April 18 and 19, Doctor, did indicate to me 14 that you started reviewing her records again on 15 March 31st: correct? 16

A. Yes.

Q. After this trial had already started?

Α. Yes.

Q. And based upon you taking another look at 20 the medical records and Dr. Paul's report and his 21 conclusions, you believed that he could be right. 22 That's what you told me on the 19; correct? 23

> Α. That's correct.

Q. And the statement you made to the

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prosecutors on March 3, that you thought the 1 argument could be dismantled, you told me on the 2 3 19th that you took back that statement; correct? If you don't recall, that's okay. 4

# A. That's okay. I prefer to quote myself if that's available.

7 Q. Sure. I'm going to have you review what's been marked for identification as 8 Exhibit 997, Doctor, starting at page 14, line 26, 9 going to page 15, line 6. Okay? 10 11

Let me know whenever you're done reviewing it.

# A. Okay. I'm looking at that for that sentence about dismantling.

Q. If you look at page 14 down at line 26. Dr. Mosley, do you recall me asking, you then wrote in this email, quote, end quote, I do believe the organophosphate argument can be dismantled on the basis of the clinical data collected on the participants of the sweat lodge ceremony. That is to say, I believe there are findings that are

inconsistent with organophosphate toxicity. 22

And this is what you wrote on March 3rd; 23 24

correct?

And your answer was?

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A. Yes.

Q. I then asked you, now, however, after reviewing the additional medical records of Liz Neuman, you would take back that statement; correct?

And your answer was?

A. Yes.

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**Q.** And I asked you, and so you do not believe that there are inconsistent findings with organophosphate toxicity from your review of Liz Neuman's medical records?

And your answer was?

A. Based on Liz Neuman's -- just based on what I've read in Liz Neuman's records alone and what I've heard about it from the other discussion I had yesterday about several other people having miosis and frothy sputum, those were findings I did not expect to find when I wrote that letter.

Q. And then I asked you, okay. So the bottom line is -- you know -- and I appreciate -- you know -- you wrote this statement with the information you had in mind on March 3.

23 So now having had the chance to review 24 the additional information, you would retract this 25 whole statement; is that correct?

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And your answer was?

A. Yes.

**Q.** So I think we're going to recess for the day.

But on April 19, only a few weeks ago, Dr. Mosley, you did tell me based on your reevaluation of the records, Dr. Paul could be right about his conclusion regarding not heat stroke, organophosphate toxicity; correct?

10 A. Yes.

MS. DO: Your Honor, do we need to recess?

THE COURT: Thank you, Ms. Do.

Ladies and gentlemen, we will take the
recess. Please remember the admonition. Please
reassemble at 9:15 tomorrow.

16 Dr. Mosley, you're excused at this time.
17 The rule of exclusion has been invoked. You can't
18 discuss your testimony with any other witness until

19 the trial is completely over.

Thank you.

(The proceedings concluded.)

21 22 23

1	STATE OF ARIZONA )
2	) ss: REPORTER'S CERTIFICATE COUNTY OF YAVAPAI )
3	
4	I, Mina G. Hunt, do hereby certify that I
5	am a Certified Reporter within the State of Arizona
6	and Certified Shorthand Reporter in California.
7	I further certify that these proceedings
8	were taken in shorthand by me at the time and place
9	herein set forth, and were thereafter reduced to
10	typewritten form, and that the foregoing
11	constitutes a true and correct transcript.
12	I further certify that I am not related
13	to, employed by, nor of counsel for any of the
14	parties or attorneys herein, nor otherwise
15	interested in the result of the within action.
16	In witness whereof, I have affixed my
17	signature this 18th day of May, 2011.
18	
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